TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

For Period Ended
August 31, 2017

Investment Portfolio	Cost	Market Value	% of Portfolio
State Local Agency Investment Fund	$ 20,823,011	$ 20,859,122	37.5%
Bank Accounts and Change Funds	7,726,309	7,726,309	13.9%
ABS-Corporate Paydown Securities	370,883	370,670	0.7%
Government Securities	21,815,748	21,815,187	39.1%
Money Market Fund	716,242	716,242	1.3%
Corporate Bonds	2,362,976	2,361,005	4.2%
US Treasury	1,953,773	1,948,637	3.5%
Total Cash and Investments	$ 55,768,941	$ 55,797,172	100.0%

PARS Investment Portfolio	Cost	Market Value	% of Portfolio
115 Trust-OPEB Investment	1,012,899	1,012,899	40.2%
Pension Trust Investment	1,514,581	1,514,581	60.0%
Total Cash and Investments	$ 2,527,480	$ 2,527,480	100.0%

Weighted Average Days to Maturity	370.74

Blended Yield:	1.300%
Benchmarks:	
LAIF	1.084%
2yr U.S. Treasury	1.330%
5yr U.S. Treasury	1.700%

Restricted Funds with Fiscal Agent	Book Value	Market Value
Water System Lease Revenue Refunding Bonds 2011	150,445	150,445
Colonies CFD Area #2 2015	2,846,891	2,846,891
Colonies CFD Area #1 2012	3,590,502	3,590,502
Upland 54 CFD 2004	320,776	320,776
Successor Agency TAN 2013 / TAN 2016- Merged Project	5,070,906	5,070,906
Total Restricted Funds	$ 11,979,521	$ 11,979,521

1. I hereby certify that the investments are in compliance with the investment policy adopted by the City Council in March 2017.
2. The City has the ability to meet its budgeted expenditures for the next six months.
3. The market values for funds held in banking institutions do not change. The amounts listed as market values for these items are the same as their book values.
4. The book value for the State Pool is the withdrawal value provided by the State Treasurer. The market value of funds held by the State Treasurer equates to the City's pro-rata share of the market value of the entire State Pool.
5. Sources for current market valuation are Account Statements and the Wall Street Journal GNMA Mortgage rates on the last trading day of the month.
6. This report meets the requirements of Government Code Section 53646.

9/26/17

Reviewed by Finance Officer — Date

Larry Kimley — 11/2/17

City Treasurer — Date

Prepared By: Shirley Feng

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

State Local Agency Investment Fund

For Period Ended
August 31, 2017

Fund or Account		Account Balance	Market Value (See Note 4)
City	98-36-942	20,808,251	
Successor Agency	65-36-007	996	
Upland Public Financing Authority	40-36-004	13,764	
	Totals	**$ 20,823,011**	**$ 20,859,122**
Yield for Period Ended	**8/31/2017**	**1.084%**	

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

Bank Accounts and Change Funds

For Period Ended
August 31, 2017

Account Name	Institution	Insured Deposits	Collateralized Deposits	Total Deposits
Petty Cash City of Upland	City of Upland	$ -	$ 12,780	$ 12,780
City of Upland Payroll Zero Balance Account	Chase	-	-	-
City of Upland Checking Account	Chase	250,000	4,118,042	4,368,042
City of Upland Successor Agency	Chase	-	3,345,487	3,345,487
	Totals	**$ 250,000**	**$ 7,476,309**	**$ 7,726,309**

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

For Period Ended

August 31, 2017

Investment	Purchased From	Cusip #	Cost	Market Value	Stated Rate	Maturity Date
	ABS					
	Honda Auto Receivables 2014-3 A3	43814HAC2	723	723	0.880%	6/15/2018
	John Deere Owner Trust	47788MAB6	24,046	24,042	1.150%	10/15/2018
	John Deere Owner Trust	477877AD6	11,398	11,394	1.070%	11/15/2018
	Toyota Auto Receivables Owner 2015-A	89236WAC2	31,438	31,420	1.120%	2/15/2019
	John Deere Owner Trust	47788NAB4	55,318	55,281	1.090%	2/15/2019
	Honda Auto Receivables 2015-2 A3	43813NAC0	43,550	43,513	1.040%	2/21/2019
	Honda Auto Receivables Owner 2016-4 A2	43814RAB2	98,329	98,180	1.040%	4/18/2019
	Nissan Auto Receivables Owner 2016-C	65478WAB1	41,447	41,562	1.070%	5/15/2019
	Toyota Auto Receivables Owner 2016-D	89231LAB3	64,633	64,554	1.060%	5/15/2019
		ABS Subtotal	370,883	370,670		
	Government Securities					
FHLMC	Deutsche Bank Sec Intl	3137EADL0	200,206	200,000	1.000%	9/29/2017
FFCB	Mlprfs Inc	3133EDXA5	199,784	200,008	1.150%	10/10/2017
FNMA	Nomura Securities Intl	3135G0TG8	198,839	199,746	0.875%	2/8/2018
FFCB	Stifel, Nicolaus & Co, Inc	3133EEQM5	210,851	209,973	1.110%	2/20/2018
FHLMC	Citigroup Global Mkt Inc	3137EADP1	198,183	199,704	0.875%	3/7/2018
FHLB	Morgan Stanley & Co	3130A4GJ5	200,684	199,896	1.125%	4/25/2018
FHLB	Wells Fargo Sec LLC	3130ABE77	1,000,000	999,050	1.125%	5/8/2018
FNMA	Citigroup Global Mkt Inc	3135G0WJ8	199,243	199,508	0.875%	5/21/2018
FNMA	Citigroup / Kebanc	3135G0E33	199,731	199,784	1.125%	7/20/2018
FHLB	Wells Fargo Sec LLC	3130AB2H8	1,499,595	1,498,365	1.200%	9/28/2018
FHLMC	Bnp Paribas Securities	3137EAED7	1,493,025	1,493,055	0.875%	10/12/2018
FNMA	Barclays Cptl & Morgan Stan	3135G0E58	200,019	199,624	1.125%	10/19/2018
FNMA	Deutsche Bank Sec Intl	3135G0YT4	1,508,490	1,506,210	1.625%	11/27/2018
FNMA	Deutsche Bank Sec Intl	3135G0G72	209,687	209,532	1.125%	12/14/2018
FFCB	Keybanc Capital Mkt Inc	3133EFSJ7	100,337	99,999	1.300%	12/14/2018
FHLMC	Morgan Stanley & Co	3137EADZ9	199,934	199,346	1.125%	4/15/2019
FHLB	Wells Fargo Sec LLC	313379EE5	202,912	200,798	1.625%	6/14/2019
FHCB	Keybanc Capital Mkt Inc	3133EFW52	200,854	199,064	1.150%	7/1/2019
FHLMC	Bnp Paribas Securities	3137EADK2	198,576	199,526	1.250%	8/1/2019
FHLB	Wells Fargo Sec LLC	313380FB8	101,020	99,873	1.375%	9/13/2019
FFCB	Keybanc Capital Mkt Inc	313EHKA0	1,500,000	1,499,280	1.400%	9/23/2019
FHLMC	Morgan Stanley & Co	3137EADM8	198,617	199,164	1.250%	10/2/2019
FNMA	Deutsche Bank Sec Intl	3135G0R39	1,485,675	1,486,815	1.000%	10/24/2019
FHLB	Wells Fargo Sec LLC	3130AA3R7	1,498,710	1,498,425	1.375%	11/15/2019
FHLB	Wells Fargo Sec LLC	3130A0JR2	205,698	204,080	2.375%	12/13/2019
FHLB	Wells Fargo Sec LLC	3130A7PU3	199,088	198,510	1.200%	4/6/2020
FFCB	Wells Fargo Sec LLC	3133ECPD0	204,100	204,668	1.420%	5/13/2020
FHLB	Wells Fargo Sec LLC	313382J53	1,508,501	1,510,770	1.750%	9/2/2020
FNMA	Deutsche Bank Sec Intl	3135G0RM7	1,499,295	1,503,480	1.630%	10/30/2020
FNMA	Deutsche Bank Sec Intl	3135G0F73	1,492,515	1,496,265	1.500%	11/30/2020
FNMA	Deutsche Bank Sec Intl	3135G0Q89	1,481,400	1,481,430	1.375%	10/7/2021
FFCB	Federal Farm Credit Bks	3133EFPT8	2,020,180	2,019,240	1.960%	11/19/2021
		Government Securities Subtotal	21,815,748	21,815,187		

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

For Period Ended

August 31, 2017

Investment	Purchased From	Cusip #	Cost	Market Value	Stated Rate	Maturity Date
	Money Market Fund					
	City of Upland					
	US Bank / Chandler Asset Mgmt	31846V203	716,242	716,242	0.01%	N/A
	Money Market Fund Subtotal		716,242	716,242		
	Corporate Bonds					
	Praxair Note	74005PBC7	124,588	124,905	1.050%	11/7/2017
	Bank of China NE CD	06426WEN8	248,000	247,950	1.000%	11/17/2017
	Chevron Corp Callable Note Cont	166764AA8	124,725	124,899	1.104%	12/5/2017
	Intel Corp Note	458140AL4	125,315	124,995	1.350%	12/15/2017
	Wells Fargo Corp Note	94974BFG0	125,216	125,004	1.500%	1/16/2018
	HSBC USA Inc.	40428HPH9	99,918	100,026	1.625%	1/16/2018
	IBM Corp Note	459200HZ7	124,619	124,864	1.125%	2/6/2018
	Apple Inc Note	037833AJ9	127,893	129,682	1.000%	5/3/2018
	Qualcom Inc Note	747525AG8	134,789	135,072	1.400%	5/18/2018
	Charles Schwab Corp	808513AJ4	126,664	125,748	2.200%	7/25/2018
	Microsoft Corp	594918BF0	59,940	59,967	1.300%	11/3/2018
	John Deere Capital Corp Note	24422ETE9	126,958	125,724	1.950%	1/8/2019
	Bank of New York Note	06406HCP2	126,059	125,638	2.500%	1/15/2019
	Toyota Motor Credit Corp	89236TDE2	124,825	124,531	1.400%	8/8/2019
	Microsoft Note	594918BN3	119,876	119,146	1.100%	8/8/2019
	Paccar Financial Corp Note	69371RN36	90,000	89,071	1.200%	8/12/2019
	State Street Bank Note	857477AS2	103,593	102,178	2.550%	8/18/2020
	American Express CD	02587CFU9	250,000	251,608	2.400%	8/29/2022
	Corporate Bonds Subtotal		2,362,976	2,361,005		
	US Treasury					
US T-note	Deutsche Bank Sec Inc	912828VE7	175,096	174,699	1.000%	5/31/2018
US T-note	Citigroup Global Mkt Inc	912828P53	199,657	198,430	0.750%	2/15/2019
US T-note	Bmo Capital Mkt Corp	912828SN1	176,798	175,560	1.500%	3/31/2019
US T-note	Jefferies LLC	912828ST8	200,157	199,790	1.250%	4/30/2019
US T-note	Citigroup Global Mkt Inc	912828SX9	203,952	204,344	1.125%	5/31/2019
US T-note	Morgan Stanley	912828TH3	197,673	198,336	0.875%	7/31/2019
US T-note	Bmo Capital Mkt Corp	912828TR1	198,118	198,664	1.000%	9/30/2019
US T-note	Barclays Capital Inc	912828G61	199,188	200,664	1.500%	11/30/2019
US T-note	Citigroup Global Mkt Inc	912828H52	202,258	199,446	1.250%	1/31/2020
US T-note	Morgan Stanley	912828UV0	200,876	198,704	1.125%	3/31/2020
	US Treasury Subtotal		1,953,773	1,948,637		
	Total Portfolio		**$ 27,219,622**	**$ 27,211,741**		

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

For Period Ended August 31, 2017	Market Value	Availability 08/31/17 09/01/17	No. of days until maturity	weight	days to maturity weighted average	Total by maturity	
Petty Cash	12,780	09/01/17	1	0.0%	0.00		
Checking Account	4,368,042	09/01/17	1	7.8%	0.08		
Successor Agency	3,345,487	09/01/17	1	6.0%	0.06	7,726,309	
Total Bank Accounts	**7,726,309**						
State Local Agency Investment Fund	**20,859,122**	09/01/17	1	37.4%	0.37	20,859,122	
US Bank / Chandler Asset Mgmt	716,242	09/01/17	1	1.3%	0.01	716,242	
Total Money Market Accounts	**716,242**						
Deutsche Bank Sec Intl	200,000	09/29/17	29	0.4%	0.10	200,000	
Government Securities	**200,000**						
						200,000	**1 - 30 days**
Mlprfs Inc	200,008	10/10/17	40	0.4%	0.14	200,008	
Government Securities	**200,008**						
						200,008	**31 - 60 days**
Praxair Note	124,905	11/07/17	68	0.2%	0.15		
Bank of China NE CD	247,950	11/17/17	78	0.4%	0.35		
Chevron Corp Callable Note Cont	124,899	12/05/17	96	0.2%	0.21		
Intel Corp Note	124,995	12/15/17	106	0.2%	0.24	622,749	
Corporate Bonds	**622,749**						
						622,749	**61 - 120 days**
Nomura Securities Intl	199,746	02/08/18	161	0.4%	0.58		
Stifel, Nicolaus & Co, Inc	209,973	02/20/18	173	0.4%	0.65	409,719	
Government Securities	**409,719**						
Wells Fargo Corp Note	125,004	01/16/18	138	0.2%	0.31		
HSBC USA Inc.	100,026	01/16/18	138	0.2%	0.25		
IBM Corp Note	124,864	02/06/18	159	0.2%	0.36	349,894	
Corporate Bonds	**349,894**						
						759,612	**121 - 180 days**
Honda Auto Receivables 2014-3 A3	723	06/15/18	288	0.0%	0.00	723	
ABS	**723**						
Citigroup Global Mkt Inc	199,704	03/07/18	188	0.4%	0.67		
Morgan Stanley & Co	199,896	04/25/18	237	0.4%	0.85		
Wells Fargo Sec LLC	999,050	05/08/18	250	1.8%	4.48		
Citigroup Global Mkt Inc	199,508	05/21/18	263	0.4%	0.94		
Citigroup / Kebanc	199,784	07/20/18	323	0.4%	1.16	1,797,942	
Government Securities	**1,797,942**						
Apple Inc Note	129,682	05/03/18	245	0.2%	0.57		
Qualcom Inc Note	135,072	05/18/18	260	0.2%	0.63		
Charles Schwab Corp	125,748	07/25/18	328	0.2%	0.74	390,501	
Corporate Bonds	**390,501**						
Deutsche Bank Sec Inc	174,699	05/31/18	273	0.3%	0.85	174,699	
US Treasury	**174,699**						
						2,363,865	**181 - 1 year**
John Deere Owner Trust	24,042	10/15/18	410	0.0%	0.18		
John Deere Owner Trust	11,394	11/15/18	441	0.0%	0.09		
Toyota Auto Receivables Owner 2015-A	31,420	02/15/19	533	0.1%	0.30		
John Deere Owner Trust	55,281	02/15/19	533	0.1%	0.53		
Honda Auto Receivables 2015-2 A3	43,513	02/21/19	539	0.1%	0.42		
Honda Auto Receivables Owner 2016-4 A2	98,180	04/18/19	595	0.2%	1.05		
Nissan Auto Receivables Owner 2016-C	41,562	05/15/19	622	0.1%	0.46		
Toyota Auto Receivables Owner 2016-D	64,554	05/15/19	622	0.1%	0.72	369,946	
ABS	**369,946**						

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

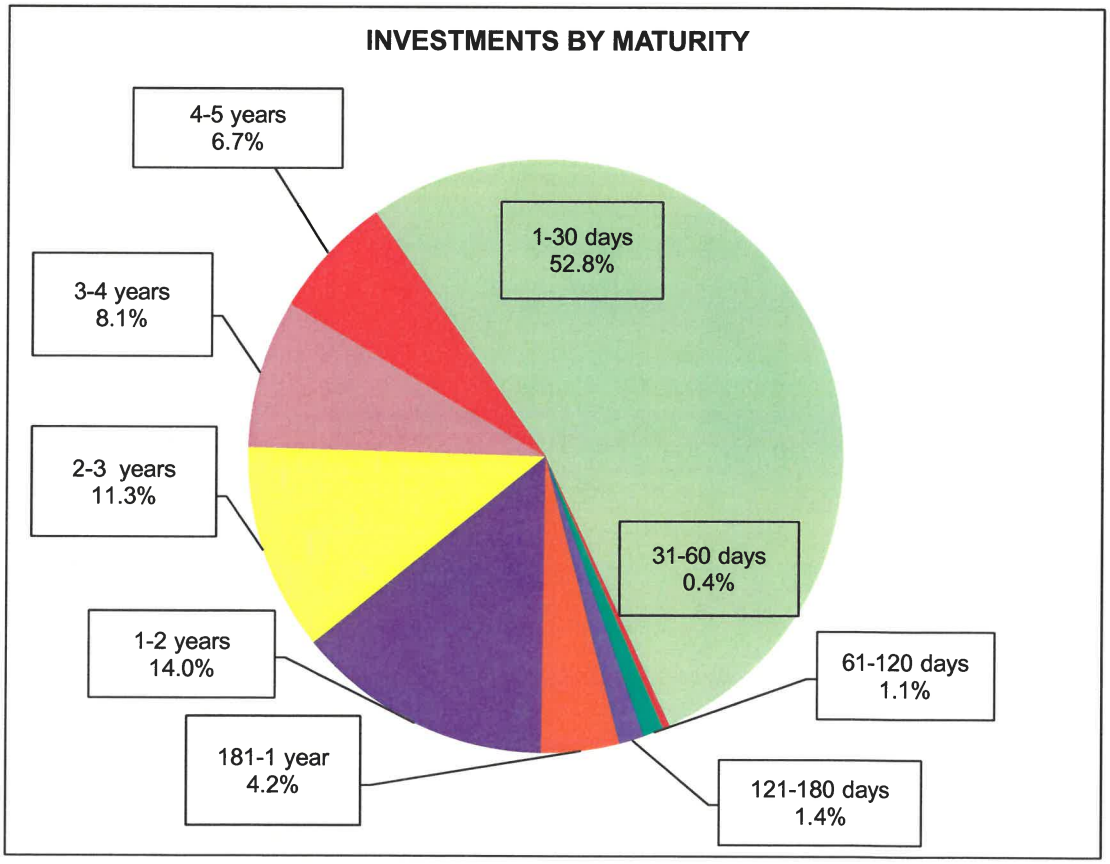
For Period Ended August 31, 2017	Market Value	Availability 08/31/17 09/01/17	No. of days until maturity	weight	days to maturity weighted average	Total by maturity	
Wells Fargo Sec LLC	1,498,365	09/28/18	393	2.7%	10.55		
Bnp Paribas Securities	1,493,055	10/12/18	407	2.7%	10.89		
Barclays Cptl & Morgan Stan	199,624	10/19/18	414	0.4%	1.48		
Deutsche Bank Sec Intl	1,506,210	11/27/18	453	2.7%	12.23		
Deutsche Bank Sec Intl	209,532	12/14/18	470	0.4%	1.76		
Keybanc Capital Mkt Inc	99,999	12/14/18	470	0.2%	0.84		
Morgan Stanley & Co	199,346	04/15/19	592	0.4%	2.12		
Wells Fargo Sec LLC	200,798	06/14/19	652	0.4%	2.35		
Keybanc Capital Mkt Inc	199,064	07/01/19	669	0.4%	2.39		
Bnp Paribas Securities	199,526	08/01/19	700	0.4%	2.50	5,805,519	
Government Securities	**5,805,519**						
Microsoft Corp	59,967	11/03/18	429	0.1%	0.46		
John Deere Capital Corp Note	125,724	01/08/19	495	0.2%	1.12		
Bank of New York Note	125,638	01/15/19	502	0.2%	1.13		
Toyota Motor Credit Corp	124,531	08/08/19	707	0.2%	1.58		
Microsoft Note	119,146	08/08/19	707	0.2%	1.51		
Paccar Financial Corp Note	89,071	08/12/19	711	0.2%	1.13	644,076	
Corporate Bonds	**644,076**						
Citigroup Global Mkt Inc	198,430	02/15/19	533	0.4%	1.90		
Bmo Capital Mkt Corp	175,560	03/31/19	577	0.3%	1.82		
Jefferies LLC	199,790	04/30/19	607	0.4%	2.17		
Citigroup Global Mkt Inc	204,344	05/31/19	638	0.4%	2.34		
Morgan Stanley	198,336	07/31/19	699	0.4%	2.48	976,460	
US Treasury	**976,460**						
						7,796,001	**1- 2 years**
Wells Fargo Sec LLC	99,873	09/13/19	743	0.2%	1.33		
Keybanc Capital Mkt Inc	1,499,280	09/23/19	753	2.7%	20.23		
Morgan Stanley & Co	199,164	10/02/19	762	0.4%	2.72		
Deutsche Bank Sec Intl	1,486,815	10/24/19	784	2.7%	20.89		
Wells Fargo Sec LLC	1,498,425	11/15/19	806	2.7%	21.65		
Wells Fargo Sec LLC	204,080	12/13/19	834	0.4%	3.05		
Wells Fargo Sec LLC	198,510	04/06/20	949	0.4%	3.38		
Wells Fargo Sec LLC	204,668	05/13/20	986	0.4%	3.62	5,390,815	
Government Securities	**5,390,815**						
State Street Bank Note	102,178	08/18/20	1,083	0.2%	1.98	102,178	
Corporate Bonds	**102,178**						
Bmo Capital Mkt Corp	198,664	09/30/19	760	0.4%	2.71		
Barclays Capital Inc	200,664	11/30/19	821	0.4%	2.95		
Citigroup Global Mkt Inc	199,446	01/31/20	883	0.4%	3.16		
Morgan Stanley	198,704	03/31/20	943	0.4%	3.36	797,478	
US Treasury	**797,478**						
						6,290,471	**2- 3 years**
Wells Fargo Sec LLC	1,510,770	09/02/20	1,098	2.7%	29.73		
Deutsche Bank Sec Intl	1,503,480	10/30/20	1,156	2.7%	31.15		
Deutsche Bank Sec Intl	1,496,265	11/30/20	1,187	2.7%	31.83	4,510,515	
Government Securities	**4,510,515**						
						4,510,515	**3- 4 years**
Deutsche Bank Sec Intl	1,481,430	10/07/21	1,498	2.7%	39.77		
Federal Farm Credit Bks	2,019,240	11/19/21	1,541	3.6%	55.77	3,500,670	
Government Securities	**3,500,670**						
American Express CD	251,608	08/29/22	1,824	0.5%	8.23	251,608	
Corporate Bonds	**251,608**						
						3,752,278	**4- 5 years**
Total Investments	**$ 55,797,172**			100.00%	370.74	**$ 55,797,172**	

Average Maturity in Days	370.74
Average Maturity in Years:	1.016

LAIF Amortized Cost	$ 75,127,618,442
LAIF Fair Value	$ 75,257,904,068
Check:	1.001734191

TREASURY REPORT
City of Upland - Successor Agency - Public Financing Authority

For Period Ended
August 31, 2017

Par Values Maturing by Date and Type
Maturities in Thousands of Dollars

Investment Portfolio	1 day to 30 days	31 days to 60 days	61 days to 120 days	121 days to 180 days	181 days to 1 year	1 year to 2 years	2 years to 3 years	3 years to 4 years	4 years to 5 years
State Local Agency Investment Fund	20,859	-	-	-	-	-	-	-	-
Bank Accounts and Change Funds	7,726	-	-	-	-	-	-	-	-
ABS	-	-	-	-	1	370	-	-	-
Government Securities	200	200		410	1,798	5,806	5,391	4,511	3,501
Money Market Fund	716	-	-	-	-	-	-	-	-
Corporate Bonds			623	350	391	644	102	-	251
US Treasury					175	976	797	-	-
Total Cash and Investments	29,501	200	623	760	2,365	7,796	6,290	4,511	3,752
Percentage	52.8%	0.4%	1.1%	1.4%	4.2%	14.0%	11.3%	8.1%	6.7%