TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

For Period Ended
January 31, 2017

Investment Portfolio	Book Value	Market Value	% of Portfolio
State Local Agency Investment Fund	$ 37,966,824	$ 41,101,513	65.2%
Bank Accounts and Change Funds	11,893,294	11,893,294	18.8%
Certificates of Deposit	-	-	0.0%
ABS	717,132	716,243	1.1%
Government Securities	4,225,000	4,223,165	6.7%
Commercial Paper	125,000	124,340	0.2%
Money Market Fund	782,578	782,578	1.2%
Corporate Bonds	2,360,000	2,358,511	3.7%
US Treasury	1,955,000	1,944,899	3.0%
Discounts (Premiums)	13,385	-	0.0%
Total Cash and Investments	$ 60,038,213	$ 63,144,542	100.0%

Weighted Average Days to Maturity	97.31

Blended Yield:	0.491%
Benchmarks:	
LAIF	0.751%
2yr U.S. Treasury	1.190%
5yr U.S. Treasury	1.900%

Restricted Funds with Fiscal Agent	Book Value	Market Value
Water System Lease Revenue Refunding Bonds 2011	150,391	150,391
Colonies CFD Area #2 2015	1,333,189	1,333,189
Colonies CFD Area #1 2012	2,084,053	2,084,053
Upland 54 CFD 2004	126,887	126,887
Redevelopment Agency East West Bank	3,011,950	3,011,950
Multifamily Housing Revenue Bonds 1999	141,712	141,712
Successor Agency 2007 Housing Revenue	10,197	10,197
Successor Agency TAN 2013 / TAN 2016- Merged Project	2,457,140	2,457,140
Total Restricted Funds	$ 9,315,518	$ 9,315,518

1. I hereby certify that the investments are in compliance with the investment policy adopted by the City Council in December 2014.
2. The City has the ability to meet its budgeted expenditures for the next six months.
3. The market values for funds held in banking institutions do not change. The amounts listed as market values for these items are the same as their book values.
4. The book value for the State Pool is the withdrawal value provided by the State Treasurer. The market value of funds held by the State Treasurer equates to the City's pro-rata share of the market value of the entire State Pool.
5. Sources for current market valuation are Account Statements and the Wall Street Journal GNMA Mortgage rates on the last trading day of the month.
6. This report meets the requirements of Government Code Section 53646.

Larry Kinley

City Treasurer

3-6-17

Date

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

State Local Agency Investment Fund

For Period Ended
January 31, 2017

Fund or Account		Account Balance	Market Value (See Note 4)
City	98-36-942	37,952,126	
Successor Agency	65-36-007	992	
Upland Public Financing Authority	40-36-004	13,707	
	Totals	**$ 37,966,824**	**$ 41,101,513**
Yield for Period Ended	**1/31/2017**	**0.751%**	

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

Bank Accounts and Change Funds

For Period Ended
January 31, 2017

Account Name	Institution	Insured Deposits	Collateralized Deposits	Total Deposits
Petty Cash City of Upland	City of Upland	$ -	$ 12,980	$ 12,980
City of Upland Payroll Zero Balance Account	Chase	-	-	-
City of Upland Checking Account	Chase	250,000	10,799,812	11,049,812
City of Upland Successor Agency	Chase	-	830,502	830,502
	Totals	**$ 250,000**	**$ 11,643,294**	**$ 11,893,294**

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City of Upland - Successor Agency - Public Financing Authority

For Period Ended

January 31, 2017

Investment	Purchased From	Cusip #	Book Value	Market Value	Stated Rate	Maturity Date
	ABS					
	Honda Auto Receivables 2014-1 A3	43813JAC9	1,168	1,168	0.670%	11/21/2017
	Toyota Auto Receivables Owner 2014-A	89231MAC9	6,882	6,881	0.670%	12/15/2017
	Toyota Auto Receivables Owner 2015-C	89231TAB6	10,739	10,737	0.920%	2/15/2018
	Honda Auto Receivables 2014-3 A3	43814HAC2	26,696	26,676	0.880%	6/15/2018
	John Deere Owner Trust	47788MAB6	91,833	91,847	1.150%	10/15/2018
	John Deere Owner Trust	477877AD6	44,891	44,872	1.070%	11/15/2018
	Toyota Auto Receivables Owner 2015-A	89236WAC2	70,144	70,105	1.120%	2/15/2019
	John Deere Owner Trust	47788NAB4	90,000	89,885	1.090%	2/15/2019
	Honda Auto Receivables 2015-2 A3	43813NAC0	94,778	94,668	1.040%	2/21/2019
	Honda Auto Receivables Owner 2016-4 A:	43814RAB2	130,000	129,671	1.040%	4/18/2019
	Nissan Auto Receivables Owner 2016-C	65478WAB1	65,000	64,898	1.070%	5/15/2019
	Toyota Auto Receivables Owner 2016-D	89231LAB3	85,000	84,835	1.060%	5/15/2019
		ABS Subtotal	717,132	716,243		
	Government Securities					
FHLMC	Barclays Capital Inc	3137EADT3	200,000	200,045	0.875%	2/22/2017
FHLB	Wells Fargo Sec LLC	313379VE6	200,000	200,250	1.010%	6/19/2017
FHLMC	Deutsche Bank Sec Intl	3137EADL0	200,000	200,376	1.000%	9/29/2017
FFCB	Mlprfs Inc	3133EDXA5	200,000	200,527	1.150%	10/10/2017
FNMA	Nomura Securities Intl	3135G0TG8	200,000	199,929	0.875%	2/8/2018
FFCB	Stifel, Nicolaus & Co, Inc	3133EEQM5	210,000	210,121	1.110%	2/20/2018
FHLMC	Citigroup Global Mkt Inc	3137EADP1	200,000	199,862	0.875%	3/7/2018
FHLB	Morgan Stanley & Co	3130A4GJ5	200,000	200,282	1.125%	4/25/2018
FNMA	Citigroup Global Mkt Inc	3135G0WJ8	200,000	199,536	0.875%	5/21/2018
FNMA	Citigroup / Kebanc	3135G0E33	200,000	200,138	1.125%	7/20/2018
FNMA	Barclays Cptl & Morgan Stan	3135G0E58	200,000	199,851	1.125%	10/19/2018
FNMA	Deutsche Bank Sec Intl	3135G0G72	210,000	209,576	1.125%	12/14/2018
FFCB	Keybanc Capital Mkt Inc	3133EFSJ7	100,000	99,978	1.300%	12/14/2018
FHLMC	Morgan Stanley & Co	3137EADZ9	200,000	199,109	1.125%	4/15/2019
FHLB	Wells Fargo Sec LLC	313379EE5	200,000	201,106	1.625%	6/14/2019
FHCB	Keybanc Capital Mkt Inc	3133EFW52	200,000	198,780	1.150%	7/1/2019
FHLMC	Bnp Paribas Securities	3137EADK2	200,000	199,300	1.250%	8/1/2019
FHLB	Wells Fargo Sec LLC	313380FB8	100,000	99,797	1.375%	9/13/2019
FHLMC	Morgan Stanley & Co	3137EADM8	200,000	198,837	1.250%	10/2/2019
FHLB	Wells Fargo Sec LLC	3130A0JR2	200,000	204,699	2.375%	12/13/2019
FHLB	Wells Fargo Sec LLC	3130A7PU3	200,000	197,032	1.200%	4/6/2020
FFCB	Wells Fargo Sec LLC	3133ECPD0	205,000	204,034	1.420%	5/13/2020
		Government Securities Subtotal	4,225,000	4,223,165		
	Commercial Paper					
	Toyota Motor Credit Discount CP	89233GU38	125,000	124,340	1.25%	7/3/2017
		Commercial Paper Subtotal	125,000	124,340		
	Money Market Fund					
	City of Upland					
	US Bank / Chandler Asset Mgmt	31846V203	782,578	782,578	0.01%	N/A
		Money Market Fund Subtotal	782,578	782,578		

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

For Period Ended

January 31, 2017

Investment	Purchased From	Cusip #	Book Value	Market Value	Stated Rate	Maturity Date
	Corporate Bonds					
	Cisco Systems Note	17275RAT9	125,000	125,048	1.100%	3/3/2017
	US Bancorp Callable Note Cont	91159HHD5	125,000	125,157	1.650%	5/15/2017
	Berkshire Hathaway Note	084664BS9	125,000	125,211	1.600%	5/15/2017
	Pfizer Inc Note	717081DJ9	125,000	125,020	1.100%	5/15/2017
	Praxair Note	74005PBC7	125,000	124,845	1.050%	11/7/2017
	Chevron Corp Callable Note Cont	166764AA8	125,000	124,812	1.104%	12/5/2017
	Intel Corp Note	458140AL4	125,000	125,163	1.350%	12/15/2017
	Wells Fargo Corp Note	94974BFG0	125,000	124,898	1.500%	1/16/2018
	HSBC USA Inc.	40428HPH9	100,000	100,065	1.625%	1/16/2018
	IBM Corp Note	459200HZ7	125,000	124,863	1.125%	2/6/2018
	Apple Inc Note	037833AJ9	130,000	129,562	1.000%	5/3/2018
	Qualcom Inc Note	747525AG8	135,000	134,865	1.400%	5/18/2018
	Charles Schwab Corp	808513AJ4	125,000	125,996	2.200%	7/25/2018
	Microsoft Corp	594918BF0	60,000	59,915	1.300%	11/3/2018
	John Deere Capital Corp Note	24422ETE9	125,000	125,760	1.950%	1/8/2019
	Bank of New York Note	06406HCP2	125,000	125,671	2.500%	1/15/2019
	Toyota Motor Credit Corp	89236TDE2	125,000	123,831	1.400%	8/8/2019
	Microsoft Note	594918BN3	120,000	118,221	1.100%	8/8/2019
	Paccar Financial Corp Note	69371RN36	90,000	88,419	1.200%	8/12/2019
	State Street Bank Note	857477AS2	100,000	101,190	2.550%	8/18/2020
	Corporate Bonds Subtotal		2,360,000	2,358,511		
	US Treasury					
US T-note	Deutsche Bank Sec Inc	912828VE7	175,000	175,007	1.000%	5/31/2018
US T-note	Citigroup Global Mkt Inc	912828P53	200,000	198,047	0.750%	2/15/2019
US T-note	Bmo Capital Mkt Corp	912828SN1	175,000	175,820	1.500%	3/31/2019
US T-note	Jefferies LLC	912828ST8	200,000	199,797	1.250%	4/30/2019
US T-note	Citigroup Global Mkt Inc	912828SX9	205,000	204,087	1.125%	5/31/2019
US T-note	Morgan Stanley	912828TH3	200,000	197,656	0.875%	7/31/2019
US T-note	Bmo Capital Mkt Corp	912828TR1	200,000	197,953	1.000%	9/30/2019
US T-note	Barclays Capital Inc	912828G61	200,000	200,328	1.500%	11/30/2019
US T-note	Citigroup Global Mkt Inc	912828H52	200,000	198,625	1.250%	1/31/2020
US T-note	Morgan Stanley	912828UV0	200,000	197,578	1.125%	3/31/2020
	US Treasury Subtotal		1,955,000	1,944,899		
	Total Portfolio		**$ 10,164,710**	**$ 10,149,735**		

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

For Period Ended January 31, 2017	Market Value	Availability 01/31/17 02/01/17	No. of days until maturity	weight	days to maturity weighted average	Total by maturity	
Petty Cash	12,980	02/01/17	1	0.0%	0.00		
Checking Account	11,049,812	02/01/17	1	17.5%	0.17		
Successor Agency	830,502	02/01/17	1	1.3%	0.01	11,893,294	
Total Bank Accounts	**11,893,294**						
State Local Agency Investment Fund	**41,101,513**	02/01/17	1	65.1%	0.65	41,101,513	
US Bank / Chandler Asset Mgmt	782,578	02/01/17	1	1.2%	0.01	782,578	
Total Money Market Accounts	**782,578**						
Barclays Capital Inc	200,045	2/22/2017	22	0.3%	0.07	200,045	
Commercial Paper	**200,045**						
						200,045	**1 - 30 days**
Cisco Systems Note	125,048	03/03/17	31	0.2%	0.06	125,048	
Corporate Bonds	**125,048**						
						125,048	**31 - 60 days**
US Bancorp Callable Note Cont	125,157	05/15/17	104	0.2%	0.21		
Berkshire Hathaway Note	125,211	05/15/17	104	0.2%	0.21		
Pfizer Inc Note	125,020	05/15/17	104	0.2%	0.21	375,387	
Corporate Bonds	**375,387**						
						375,387	**61 - 120 days**
Wells Fargo Sec LLC	200,250	06/19/17	139	0.3%	0.44	200,250	
Government Securities	**200,250**						
Toyota Motor Credit Discount CP	124,340	7/3/2017	153	0.2%	0.30	124,340	
Commercial Paper	**124,340**						
						324,591	**121 - 180 days**
Honda Auto Receivables 2014-1 A3	1,168	11/21/17	294	0.0%	0.01		
Toyota Auto Receivables Owner 2014-A	6,881	12/15/17	318	0.0%	0.03	8,049	
ABS	**8,049**						
Deutsche Bank Sec Intl	200,376	09/29/17	241	0.3%	0.76		
Mlprfs Inc	200,527	10/10/17	252	0.3%	0.80	400,902	
Government Securities	**400,902**						
Praxair Note	124,845	11/07/17	280	0.2%	0.55		
Chevron Corp Callable Note Cont	124,812	12/05/17	308	0.2%	0.61		
Intel Corp Note	125,163	12/15/17	318	0.2%	0.63		
Wells Fargo Corp Note	124,898	01/16/18	350	0.2%	0.69		
HSBC USA Inc.	100,065	01/16/18	350	0.2%	0.55	599,783	
Corporate Bonds	**599,783**						
						1,008,734	**181 - 1 year**
Toyota Auto Receivables Owner 2015-C	10,737	02/15/18	380	0.0%	0.06		
Honda Auto Receivables 2014-3 A3	26,676	06/15/18	500	0.0%	0.21		
John Deere Owner Trust	91,847	10/15/18	622	0.1%	0.90		
John Deere Owner Trust	44,872	11/15/18	653	0.1%	0.46	174,132	
ABS	**174,132**						

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

For Period Ended January 31, 2017	Market Value	Availability 01/31/17 02/01/17	No. of days until maturity	weight	days to maturity weighted average	Total by maturity	
Nomura Securities Intl	199,929	02/08/18	373	0.3%	1.18		
Stifel, Nicolaus & Co, Inc	210,121	02/20/18	385	0.3%	1.28		
Citigroup Global Mkt Inc	199,862	03/07/18	400	0.3%	1.27		
Morgan Stanley & Co	200,282	04/25/18	449	0.3%	1.42		
Citigroup Global Mkt Inc	199,536	05/21/18	475	0.3%	1.50		
Citigroup / Kebanc	200,138	07/20/18	535	0.3%	1.70		
Barclays Cptl & Morgan Stan	199,851	10/19/18	626	0.3%	1.98		
Deutsche Bank Sec Intl	209,576	12/14/18	682	0.3%	2.26		
Keybanc Capital Mkt Inc	99,978	12/14/18	682	0.2%	1.08	1,719,274	
Government Securities	**1,719,274**						
IBM Corp Note	124,863	02/06/18	371	0.2%	0.73		
Apple Inc Note	129,562	05/03/18	457	0.2%	0.94		
Qualcom Inc Note	134,865	05/18/18	472	0.2%	1.01		
Charles Schwab Corp	125,996	07/25/18	540	0.2%	1.08		
Microsoft Corp	59,915	11/03/18	641	0.1%	0.61		
John Deere Capital Corp Note	125,760	01/08/19	707	0.2%	1.41	700,962	
Corporate Bonds	**700,962**						
Deutsche Bank Sec Inc	175,007	05/31/18	485	0.3%	1.34	175,007	
US Treasury	**175,007**						
						2,769,374	**1- 2 years**
Toyota Auto Receivables Owner 2015-A	70,105	02/15/19	745	0.1%	0.83		
John Deere Owner Trust	89,885	02/15/19	745	0.1%	1.06		
Honda Auto Receivables 2015-2 A3	94,668	02/21/19	751	0.1%	1.13		
Honda Auto Receivables Owner 2016-4 A:	129,671	04/18/19	807	0.2%	1.66		
Nissan Auto Receivables Owner 2016-C	64,898	05/15/19	834	0.1%	0.86		
Toyota Auto Receivables Owner 2016-D	84,835	05/15/19	834	0.1%	1.12	534,062	
ABS	**534,062**						
Morgan Stanley & Co	199,109	04/15/19	804	0.3%	2.54		
Wells Fargo Sec LLC	201,106	06/14/19	864	0.3%	2.75		
Keybanc Capital Mkt Inc	198,780	07/01/19	881	0.3%	2.77		
Bnp Paribas Securities	199,300	08/01/19	912	0.3%	2.88		
Wells Fargo Sec LLC	99,797	09/13/19	955	0.2%	1.51		
Morgan Stanley & Co	198,837	10/02/19	974	0.3%	3.07		
Wells Fargo Sec LLC	204,699	12/13/19	1,046	0.3%	3.39	1,301,627	
Government Securities	**1,301,627**						
Bank of New York Note	125,671	08/08/19	919	0.2%	1.83		
Toyota Motor Credit Corp	123,831	08/08/19	919	0.2%	1.80		
Microsoft Note	118,221	08/12/19	923	0.2%	1.73		
Paccar Financial Corp Note	88,419	08/12/19	923	0.1%	1.29	456,141	
Corporate Bonds	**456,141**						
Citigroup Global Mkt Inc	198,047	02/15/19	745	0.3%	2.34		
Bmo Capital Mkt Corp	175,820	03/31/19	789	0.3%	2.20		
Jefferies LLC	199,797	04/30/19	819	0.3%	2.59		
Citigroup Global Mkt Inc	204,087	05/31/19	850	0.3%	2.75		
Morgan Stanley	197,656	07/31/19	911	0.3%	2.85		
Bmo Capital Mkt Corp	197,953	09/30/19	972	0.3%	3.05		
Barclays Capital Inc	200,328	11/30/19	1,033	0.3%	3.28		
Citigroup Global Mkt Inc	198,625	01/31/20	1,095	0.3%	3.44	1,572,314	
US Treasury	**1,572,314**						
						3,864,145	**2- 3 years**

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

For Period Ended January 31, 2017	Market Value	Availability 01/31/17 02/01/17	No. of days until maturity	weight	days to maturity weighted average	Total by maturity	
Wells Fargo Sec LLC	197,032	04/06/20	1,161	0.3%	3.62		
Wells Fargo Sec LLC	204,034	05/13/20	1,198	0.3%	3.87	401,066	
Government Securities	**401,066**						
State Street Bank Note	101,190	08/18/20	1,295	0.2%	2.08	101,190	
Corporate Bonds	**101,190**						
Morgan Stanley	197,578	03/31/20	1,155	0.3%	3.61	197,578	
US Treasury	**197,578**						
						699,834	**3- 4 years**
Total Investments	**$ 63,144,542**			100.00%	97.31	**$ 63,144,542**	

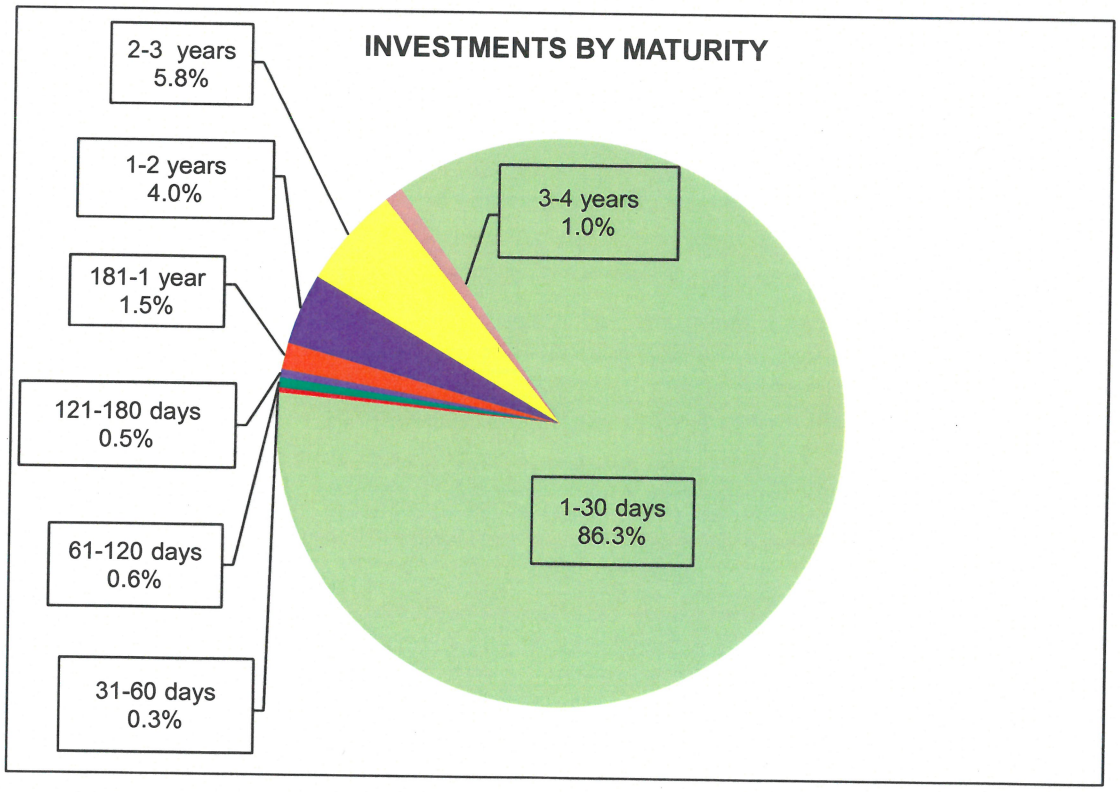
Average Maturity in Days	97.31	Total Book Value	
Average Maturity in Years:	0.267	Rate of Return	

LAIF Amortized Cost	$ 73,763,876,240
LAIF Fair Value	$ 79,854,108,266
Check:	1.082563883

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

For Period Ended
January 31, 2017

Par Values Maturing by Date and Type
Maturities in Thousands of Dollars

Investment Portfolio	1 day to 30 days	31 days to 60 days	61 days to 120 days	121 days to 180 days	181 days to 1 year	1 year to 2 years	2 years to 3 years	3 years to 4 years
State Local Agency Investment Fund	41,102	-	-	-	-	-	-	-
Bank Accounts and Change Funds	15,569	-	-	-	-	-	-	-
ABS	-	-	-	-	8	174	534	-
Government Securities	-	-	-	200	401	1,719	1,302	401
Commercial Paper	200	-	-	124	-	-	-	-
Money Market Fund	783	-	-	-	-	-	-	-
Corporate Bonds	-	125	375	-	600	701	456	101
US Treasury	-	-	-	-	-	175	1,572	198
Total Cash and Investments	57,653	125	375	325	1,009	2,769	3,864	700
Percentage	86.3%	0.3%	0.6%	0.5%	1.5%	4.0%	5.8%	1.0%