TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

For Period Ended
September 30, 2018

Investment Portfolio	Cost	Par Value	Market Value	% of Portfolio
State Local Agency Investment Fund	$ 11,015,365	N/A	$ 11,042,421	16.8%
Bank Accounts and Change Funds	5,969,820	N/A	5,969,820	9.1%
ABS-Corporate Paydown Securities	-	N/A	-	0.0%
Money Market Fund	3,177,011	N/A	3,177,011	4.8%
Government Agency Securities	37,719,370	37,765,000	37,127,582	56.0%
Corporate Bonds	7,251,801	7,241,000	7,194,711	10.9%
US Treasury	1,778,677	1,780,000	1,757,284	2.7%
Total Cash and Investments	$ 66,912,044	$ 46,786,000	$ 66,268,829	100.0%

PARS Investment Portfolio	Cost	Market Value	% of Portfolio
115 Trust-OPEB Investment as of 9/30/18	1,077,898	1,077,898	14.5%
Pension Trust Investment as of 9/30/18	6,398,075	6,398,075	85.7%
Total Cash and Investments	$ 7,475,974	$ 7,475,974	100.0%

Weighted Average Days to Maturity	577.98

Blended Yield:	1.990%
Benchmarks:	
LAIF	2.063%
2yr U.S. Treasury	2.810%
5yr U.S. Treasury	2.940%

Restricted Funds with Fiscal Agent	Book Value	Market Value
Water System Lease Revenue Refunding Bonds 2011	541,326	541,326
Colonies CFD Area #2 2015	1,838,155	1,838,155
Colonies CFD Area #1 2012	2,442,680	2,442,680
Upland 54 CFD 2004	216,796	216,796
Successor Agency TAN 2013 / TAN 2016- Merged Project	2,451,178	2,451,178
Total Restricted Funds	$ 7,490,134	$ 7,490,134

1. I hereby certify that the investments are in compliance with the investment policy adopted by the City Council in March 2017.

2. The City has the ability to meet its budgeted expenditures for the next six months.

3. The market values for funds held in banking institutions do not change. The amounts listed as market values for these items are the same as their book values.

4. The book value for the State Pool is the withdrawal value provided by the State Treasurer. The market value of funds held by the State Treasurer equates to the City's pro-rata share of the market value of the entire State Pool.

5. Sources for current market valuation are Account Statements and the Wall Street Journal GNMA Mortgage rates on the last trading day of the month.

6. This report meets the requirements of Government Code Section 53646.

Reviewed by Finance Officer — Date: 10/17/18

City Treasurer — Date: 10/22/18

Prepared By: Angela Durham

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

State Local Agency Investment Fund

For Period Ended
September 30, 2018

Fund or Account		Account Balance	
City	98-36-942	11,015,365	
Successor Agency	65-36-007	-	
Upland Public Financing Authority	40-36-004	-	
			Market Value (See Note 4)
	Totals	**$ 11,015,365**	**$ 11,042,421**
Yield for Period Ended	**9/30/2018**	**2.063%**	

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

Bank Accounts and Change Funds

For Period Ended
September 30, 2018

Account Name	Institution	Insured Deposits	Collateralized Deposits	Total Deposits
Petty Cash City of Upland	City of Upland	$ -	$ 11,780	$ 11,780
City of Upland Payroll Zero Balance Account	Chase	-	-	-
City of Upland Checking Account	Chase	250,000	4,958,794	5,208,794
City of Upland Successor Agency	Chase	-	749,247	749,247
	Totals	**$ 250,000**	**$ 5,719,820**	**$ 5,969,820**

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

For Period Ended

September 30, 2018

Investment	Purchased From	Cusip #	Cost	Market Value	Stated Rate	Maturity Date
	Government Securities					
FHLMC	Bnp Paribas Securities	3137EAED7	1,493,025	1,499,415	0.875%	10/12/2018
FNMA	Barclays Cptl & Morgan Stan	3135G0E58	200,019	199,894	1.125%	10/19/2018
FNMA	Deutsche Bank Sec Intl	3135G0YT4	1,508,490	1,498,590	1.625%	11/27/2018
FNMA	Deutsche Bank Sec Intl	3135G0G72	209,687	209,525	1.125%	12/14/2018
FFCB	Keybanc Capital Mkt Inc	3133EFSJ7	100,337	99,806	1.300%	12/14/2018
FHLMC	Morgan Stanley & Co	3137EADZ9	199,934	198,586	1.125%	4/15/2019
FHLB	Wells Fargo Sec LLC	313379EE5	202,912	198,740	1.625%	6/14/2019
FHCB	Keybanc Capital Mkt Inc	3133EFW52	200,854	197,850	1.150%	7/1/2019
FHLMC	Bnp Paribas Securities	3137EADK2	198,576	197,814	1.250%	8/1/2019
FHLB	Wells Fargo Sec LLC	313380FB8	101,020	98,835	1.375%	9/13/2019
FFCB	Keybanc Capital Mkt Inc	313EHKA0	1,500,000	1,482,660	1.400%	9/23/2019
FHLMC	Morgan Stanley & Co	3137EADM8	198,617	197,244	1.250%	10/2/2019
FNMA	Deutsche Bank Sec Intl	3135G0R39	1,485,675	1,473,735	1.000%	10/24/2019
FHLB	Wells Fargo Sec LLC	3130AA3R7	1,498,710	1,478,475	1.375%	11/15/2019
FHLB	Wells Fargo Sec LLC	3130A0JR2	205,698	199,200	2.375%	12/13/2019
FHLB	Federal Home Loan Bks	3130ADPX4	1,496,250	1,495,890	2.000%	3/5/2020
FHLB	Wells Fargo Sec LLC	3130A7PU3	199,088	195,292	1.200%	4/6/2020
FFCB	Wells Fargo Sec LLC	3133ECPD0	204,100	200,539	1.420%	5/13/2020
FHLB	Wells Fargo Sec LLC	313382J53	1,508,501	1,468,380	1.750%	9/2/2020
FHLB	Federal Home Loan Bks	3130ADPR7	2,500,000	2,484,400	2.500%	9/15/2020
FNMA	Deutsche Bank Sec Intl	3135G0RM7	1,499,295	1,462,365	1.630%	10/30/2020
FFCB	Federal Farm Credit Bks	3133EJMX4	1,000,000	995,250	2.680%	11/2/2020
FNMA	Deutsche Bank Sec Intl	3135G0F73	1,492,515	1,457,850	1.500%	11/30/2020
FHLB	Federal Home Loan Bks	3130ADV58	1,300,000	1,299,519	2.500%	4/12/2021
FHLMC	Morgan Stanley & Co	3134GSNS0	998,750	991,660	2.800%	6/28/2021
FFCB	Federal Farm Credit Bks	3133EHYU1	1,236,313	1,211,863	1.840%	9/13/2021
FNMA	Deutsche Bank Sec Intl	3135G0Q89	1,481,400	1,433,025	1.375%	10/7/2021
FFCB	Federal Farm Credit Bks	3133EFPT8	2,020,180	1,941,740	1.960%	11/19/2021
FFCB	Federal Farm Credit Bks	3133EJPE3	1,000,000	994,350	2.950%	2/15/2022
FHLMC	Morgan Stanley & Co	3134GSFS9	2,500,000	2,486,225	2.375%	9/6/2022
FFCB	Federal Farm Credit Bks	3133EHYB3	1,232,838	1,197,638	1.940%	9/12/2022
FHLB	Federal Home Loan Bks	3130ACH72	1,247,738	1,217,788	2.260%	10/4/2022
FFCB	Federal Farm Credit Bks	3133EHF73	1,248,850	1,210,888	2.280%	10/17/2022
FHLMC	Federal Home LN Corp	3134GB3A8	1,500,000	1,449,780	2.250%	11/28/2022
FHLB	Wells Fargo Sec LLC	3130ACX82	1,250,000	1,210,513	2.375%	12/5/2022
FHLMC	Morgan Stanley & Co	3134GSLT0	1,500,000	1,492,260	1.125%	5/30/2023
	Government Securities Subtotal		37,719,370	37,127,582		
	Money Market Fund					
	City of Upland US Bank	31846V203	3,177,011	3,177,011	0.93%	N/A
	Money Market Fund Subtotal		3,177,011	3,177,011		
	Corporate Bonds					
	Envision Bank	29415LAB7	250,000	249,985	1.800%	10/16/2018
	Microsoft Corp	594918BF0	59,940	59,933	1.300%	11/3/2018
	Dedham Instn for Svgs Mass	24367JAW5	250,000	249,963	1.800%	11/13/2018
	Citizens Bk Pa Philadelphia	17417QFE8	250,000	249,993	1.950%	11/16/2018
	Texas Capital Bank Na	88224PKJ7	250,000	249,993	1.950%	11/16/2018

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

For Period Ended

September 30, 2018

Investment	Purchased From	Cusip #	Cost	Market Value	Stated Rate	Maturity Date
	First National Bank CD	332135HJ4	250,000	249,968	2.000%	12/13/2018
	John Deere Capital Corp Note	24422ETE9	126,958	124,809	1.950%	1/8/2019
	Bank of New York Note	06406HCP2	126,059	124,853	2.500%	1/15/2019
	Illini St Bank II	45177RAR3	250,000	249,763	2.000%	3/25/2019
	Bank of China New York City	06426WY63	250,000	249,890	2.200%	5/15/2019
	Maple Bank Champlin CD	565109AZ8	250,000	249,913	2.200%	5/15/2019
	Bank of India New York Branch	06279KML7	250,000	250,090	2.350%	6/5/2019
	Toyota Motor Credit Corp	89236TDE2	124,825	124,034	1.400%	8/8/2019
	Microsoft Note	594918BN3	119,876	118,462	1.100%	8/8/2019
	Paccar Financial Corp Note	69371RN36	90,000	88,694	1.200%	8/12/2019
	First Fid Bank Oklahoma City CD	32024FAB7	250,000	248,388	2.850%	9/14/2019
	Safra National Bank CD	78658Q3T8	250,000	250,023	2.500%	9/16/2019
	Lakeside Bank CD	51210SPT8	250,000	249,595	2.400%	10/31/2019
	Wex Bank Midvale CD	92937CHF8	250,000	249,853	2.550%	12/13/2019
	Ally Bank Midvale Utah	02007GDM2	250,875	249,743	2.750%	6/29/2020
	State Street Bank Note	857477AS2	103,593	99,229	2.550%	8/18/2020
	Bank of Hope CD	062683BX4	250,000	249,565	2.800%	9/14/2020
	Comenity CAP BK CD	20033AXY7	250,000	245,395	2.000%	10/23/2020
	Eagle Bank CD	27002YDY9	250,000	249,205	2.800%	3/8/2021
	CitiBank NA CD	17312QH93	250,000	248,468	2.750%	4/12/2021
	B Bay LLC Promissory Notebal	05580ANK6	250,000	249,678	3.000%	7/13/2021
	Abacus Federal CD	00257TBA3	250,000	248,805	2.900%	8/31/2021
	Wells Fargo Bank CD	949763LT1	250,000	243,115	2.250%	12/8/2021
	American Express CD	02587CFU9	250,000	240,518	2.400%	8/29/2022
	American CD	02587DN38	249,675	238,704	2.400%	4/5/2022
	Goldman Sachs CD	38148PYQ4	250,000	245,238	2.800%	2/28/2023
	Sallie Mae Bank Salt Lke	795450P66	250,000	249,025	3.200%	5/9/2023
	Discover Bank Greenwood Del CD	254673RF5	250,000	249,830	3.200%	5/9/2023
		Corporate Bonds Subtotal	7,251,801	7,194,711		
	US Treasury					
US T-note	Citigroup Global Mkt Inc	912828P53	199,657	198,804	0.750%	2/15/2019
US T-note	Bmo Capital Mkt Corp	912828SN1	176,798	174,193	1.500%	3/31/2019
US T-note	Jefferies LLC	912828ST8	200,157	198,618	1.250%	4/30/2019
US T-note	Citigroup Global Mkt Inc	912828SX9	203,952	203,135	1.125%	5/31/2019
US T-note	Morgan Stanley	912828TH3	197,673	197,188	0.875%	7/31/2019
US T-note	Bmo Capital Mkt Corp	912828TR1	198,118	196,750	1.000%	9/30/2019
US T-note	Barclays Capital Inc	912828G61	199,188	197,258	1.500%	11/30/2019
US T-note	Citigroup Global Mkt Inc	912828H52	202,258	196,126	1.250%	1/31/2020
US T-note	Morgan Stanley	912828UV0	200,876	195,212	1.125%	3/31/2020
		US Treasury Subtotal	1,778,677	1,757,284		
	Total Portfolio		**$ 49,926,858**	**$ 49,256,588**		

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

For Period Ended September 30, 2018	Market Value	Availability 09/30/18 10/01/18	No. of days until maturity	weight	days to maturity weighted average	Total by maturity	
Petty Cash	11,780	10/01/18	1	0.0%	0.00		
Checking Account	5,208,794	10/01/18	1	7.9%	0.08		
Successor Agency	749,247	10/01/18	1	1.1%	0.01	5,969,820	
Total Bank Accounts	**5,969,820**						
State Local Agency Investment Fund	**11,042,421**	10/01/18	1	16.7%	0.17	11,042,421	
US Bank	3,177,011	10/01/18	1	4.8%	0.05	3,177,011	
Total Money Market Accounts	**3,177,011**						
Bnp Paribas Securities	1,499,415	10/12/18	12	2.3%	0.27		
Barclays Cptl & Morgan Stan	199,894	10/19/18	19	0.3%	0.06	1,699,309	
Government Securities	**1,699,309**						
Envision Bank	249,985	10/16/18	16	0.4%	0.06	249,985	
Corporate Bonds	**249,985**						
						22,138,546	**1 - 30 days**
Deutsche Bank Sec Intl	1,498,590	11/27/18	58	2.3%	1.31	1,498,590	
Government Securities	**1,498,590**						
Microsoft Corp	59,933	11/03/18	34	0.1%	0.03		
Dedham Instn for Svgs Mass	249,963	11/13/18	44	0.4%	0.17		
Citizens Bk Pa Philadelphia	249,993	11/16/18	47	0.4%	0.18		
Texas Capital Bank Na	249,993	11/16/18	47	0.4%	0.18	809,880	
Corporate Bonds	**809,880**						
						2,308,470	**31 - 60 days**
Deutsche Bank Sec Intl	209,525	12/14/18	75	0.3%	0.24		
Keybanc Capital Mkt Inc	99,806	12/14/18	75	0.2%	0.11	309,331	
Government Securities	**309,331**						
First National Bank CD	249,968	12/13/18	74	0.4%	0.28		
John Deere Capital Corp Note	124,809	01/08/19	100	0.2%	0.19		
Bank of New York Note	124,853	01/15/19	107	0.2%	0.20	499,629	
Corporate Bonds	**499,629**						
						808,960	**61 - 120 days**
Illini St Bank II	249,763	03/25/19	176	0.4%	0.66	249,763	
Corporate Bonds	**249,763**						
Citigroup Global Mkt Inc	198,804	02/15/19	138	0.3%	0.41	198,804	
US Treasury	**198,804**						
						448,567	**121 - 180 days**
Morgan Stanley & Co	198,586	04/15/19	197	0.3%	0.59		
Wells Fargo Sec LLC	198,740	06/14/19	257	0.3%	0.77		
Keybanc Capital Mkt Inc	197,850	07/01/19	274	0.3%	0.82		
Bnp Paribas Securities	197,814	08/01/19	305	0.3%	0.91		
Wells Fargo Sec LLC	98,835	09/13/19	348	0.1%	0.52		
Keybanc Capital Mkt Inc	1,482,660	09/23/19	358	2.2%	8.01	2,374,485	
Government Securities	**2,374,485**						
Bank of China New York City	249,890	05/15/19	227	0.4%	0.86		
Maple Bank Champlin CD	249,913	05/15/19	227	0.4%	0.86		
Bank of India New York Branch	250,090	06/05/19	248	0.4%	0.94		
Toyota Motor Credit Corp	124,034	08/08/19	312	0.2%	0.58		
Microsoft Note	118,462	08/08/19	312	0.2%	0.56		
Paccar Financial Corp Note	88,694	08/12/19	316	0.1%	0.42		
First Fid Bank Oklahoma City CD	248,388	09/14/19	349	0.4%	1.31		
Safra National Bank CD	250,023	09/16/19	351	0.4%	1.32	1,579,492	
Corporate Bonds	**1,579,492**						
Bmo Capital Mkt Corp	174,193	03/31/19	182	0.3%	0.48		
Jefferies LLC	198,618	04/30/19	212	0.3%	0.64		
Citigroup Global Mkt Inc	203,135	05/31/19	243	0.3%	0.74		
Morgan Stanley	197,188	07/31/19	304	0.3%	0.90		
Bmo Capital Mkt Corp	196,750	09/30/19	365	0.3%	1.08	969,884	
US Treasury	**969,884**						
						4,923,861	**181 - 1 year**
Morgan Stanley & Co	197,244	10/02/19	367	0.3%	1.09		
Deutsche Bank Sec Intl	1,473,735	10/24/19	389	2.2%	8.65		
Wells Fargo Sec LLC	1,478,475	11/15/19	411	2.2%	9.17		

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

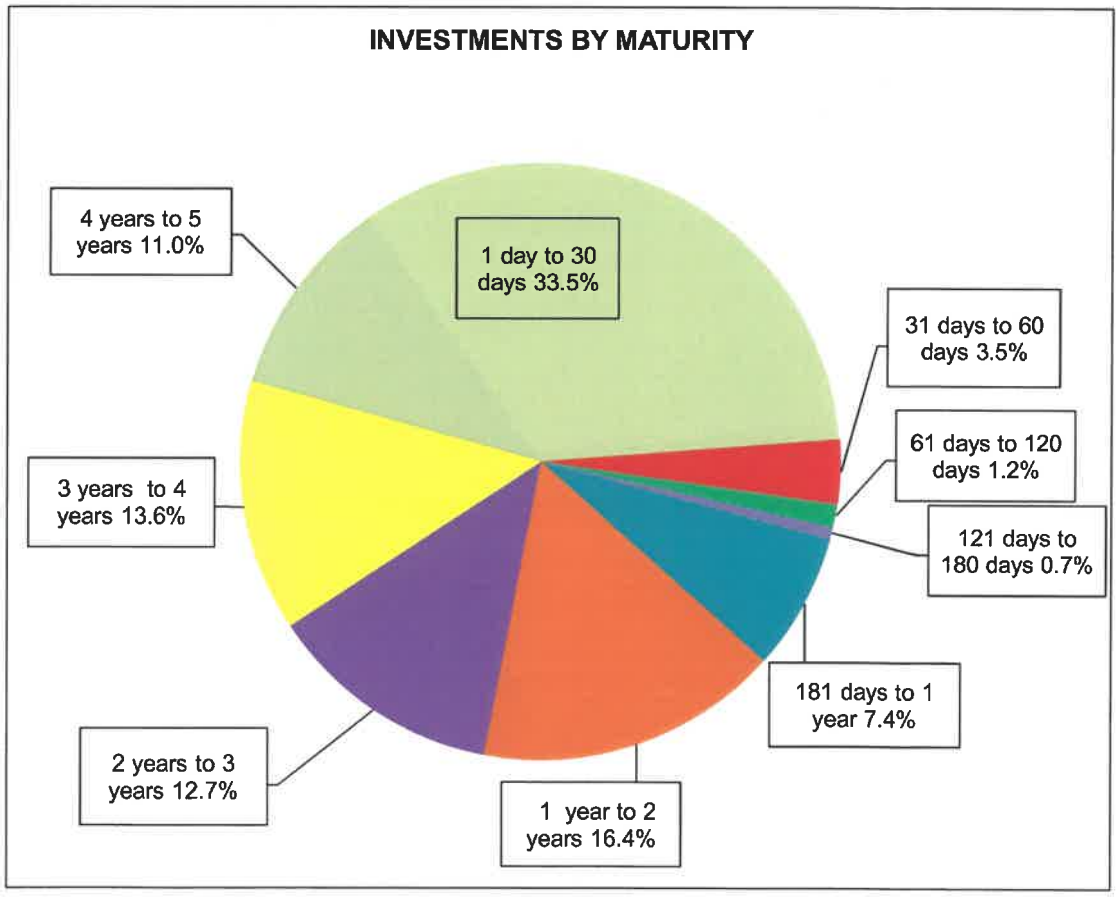
For Period Ended September 30, 2018	Market Value	Availability 09/30/18 10/01/18	No. of days until maturity	weight	days to maturity weighted average	Total by maturity	
Wells Fargo Sec LLC	199,200	12/13/19	439	0.3%	1.32		
Federal Home Loan Bks	1,495,890	03/05/20	522	2.3%	11.78		
Wells Fargo Sec LLC	195,292	04/06/20	554	0.3%	1.63		
Wells Fargo Sec LLC	200,539	05/13/20	591	0.3%	1.79		
Wells Fargo Sec LLC	1,468,380	09/02/20	703	2.2%	15.58		
Federal Home Loan Bks	2,484,400	09/15/20	716	3.7%	26.84	9,193,155	
Government Securities	**9,193,155**						
Lakeside Bank CD	249,595	10/31/19	396	0.4%	1.49		
Wex Bank Midvale CD	249,853	12/13/19	439	0.4%	1.66		
Ally Bank Midvale Utah	249,743	06/29/20	638	0.4%	2.40		
State Street Bank Note	99,229	08/18/20	688	0.1%	1.03		
Bank of Hope CD	249,565	09/14/20	715	0.4%	2.69	1,097,984	
Corporate Bonds	**1,097,984**						
Barclays Capital Inc	197,258	11/30/19	426	0.3%	1.27		
Citigroup Global Mkt Inc	196,126	01/31/20	488	0.3%	1.44		
Morgan Stanley	195,212	03/31/20	548	0.3%	1.61	588,596	
US Treasury	**588,596**						
						10,879,735	**1- 2 years**
Deutsche Bank Sec Intl	1,462,365	10/30/20	761	2.2%	16.79		
Federal Farm Credit Bks	995,250	11/02/20	764	1.5%	11.47		
Deutsche Bank Sec Intl	1,457,850	11/30/20	792	2.2%	17.42		
Federal Home Loan Bks	1,299,519	04/12/21	925	2.0%	18.14		
Morgan Stanley & Co	991,660	06/28/21	1,002	1.5%	14.99		
Federal Farm Credit Bks	1,211,863	09/13/21	1,079	1.8%	19.73	7,418,507	
Government Securities	**7,418,507**						
Comenity CAP BK CD	245,395	10/23/20	754	0.4%	2.79		
CitiBank NA CD	248,468	04/12/21	925	0.4%	3.47		
B Bay LLC Promissory Notebal	249,678	07/13/21	1,017	0.4%	3.83		
Eagle Bank CD	249,205	03/08/21	890	0.4%	3.35	992,745	
Corporate Bonds	**992,745**						
						8,411,252	**2- 3 years**
Deutsche Bank Sec Intl	1,433,025	10/07/21	1,103	2.2%	23.85		
Federal Farm Credit Bks	1,941,740	11/19/21	1,146	2.9%	33.58		
Federal Farm Credit Bks	994,350	02/15/22	1,234	1.5%	18.52		
Morgan Stanley & Co	2,486,225	09/06/22	1,437	3.8%	53.91		
Federal Farm Credit Bks	1,197,638	09/12/22	1,443	1.8%	26.08	8,052,978	
Government Securities	**8,052,978**						
Abacus Federal CD	248,805	10/04/22	1,465	0.4%	5.50		
Wells Fargo Bank CD	243,115	12/08/21	1,165	0.4%	4.27		
American Express CD	240,518	08/29/22	1,429	0.4%	5.19		
American CD	238,704	04/05/22	1,283	0.4%	4.62	971,141	
Corporate Bonds	**971,141**						
						9,024,119	**3- 4 years**
Federal Home Loan Bks	1,217,788	10/04/22	1,465	1.8%	26.92		
Federal Farm Credit Bks	1,210,888	10/17/22	1,478	1.8%	27.01		
Federal Home LN Corp	1,449,780	11/28/22	1,520	2.2%	33.25		
Wells Fargo Sec LLC	1,210,513	12/05/22	1,527	1.8%	27.89		
Morgan Stanley & Co	1,492,260	05/30/23	1,703	2.3%	38.35	6,581,228	
Government Securities	**6,581,228**						
Goldman Sachs CD	245,238	02/28/23	1,612	0.4%	5.97		
Sallie Mae Bank Salt Lke	249,025	05/09/23	1,682	0.4%	6.32		
Discover Bank Greenwood Del CD	249,830	05/09/23	1,682	0.4%	6.34	744,093	
Corporate Bonds	**744,093**						
						7,325,320	**4- 5 years**
Total Investments	**$ 66,268,829**			100.00%	577.98	**$ 66,268,829**	

Average Maturity in Days	577.98
Average Maturity in Years:	1.584

LAIF Amortized Cost	$ 87,809,390,708
LAIF Fair Value	$ 88,025,067,288
Check:	1.002456190

TREASURY REPORT
City of Upland - Successor Agency - Public Financing Authority

For Period Ended
September 30, 2018

Par Values Maturing by Date and Type
Maturities in Thousands of Dollars

Investment Portfolio	1 day to 30 days	31 days to 60 days	61 days to 120 days	121 days to 180 days	181 days to 1 year	1 year to 2 years	2 years to 3 years	3 years to 4 years	4 years to 5 years
State Local Agency Investment Fund	11,042	-	-	-	-	-	-	-	-
Bank Accounts and Change Funds	5,970	-	-	-	-	-	-	-	-
ABS	-	-	-	-	-	-	-	-	-
Government Securities	1,699	1,499	309	-	2,374	9,193	7,419	8,053	6,581
Money Market Fund	3,177	-	-	-	-	-	-	-	-
Corporate Bonds	250	810	500	250	1,579	1,098	993	971	744
US Treasury	-	-	-	199	970	589	-	-	-
Total Cash and Investments	22,138	2,309	809	449	4,923	10,880	8,412	9,024	7,325
Percentage	33.5%	3.5%	1.2%	0.7%	7.4%	16.4%	12.7%	13.6%	11.0%