

**TREASURY REPORT**  
City of Upland - Successor Agency - Public Financing Authority

For Period Ended  
April 30, 2020

Investment Portfolio	Cost	Par Value	Market Value	% of Portfolio
State Local Agency Investment Fund	\$ 41,894,235	N/A	\$ 42,330,176	38.1%
Bank Accounts and Change Funds	7,413,672	N/A	7,413,672	6.7%
Money Market Fund	3,773,000	N/A	3,773,000	3.4%
Government Agency Securities	41,204,991	43,905,000	41,460,438	37.5%
Corporate Bonds	15,344,061	12,578,000	15,707,390	14.2%
<b>Total Cash and Investments</b>	<b>\$ 109,629,958</b>	<b>\$ 56,483,000</b>	<b>\$ 110,684,678</b>	<b>100.0%</b>

PARS Investment Portfolio	Cost	Market Value	% of Portfolio
115 Trust-OPEB Investment as of 04/30/20	1,116,996	1,116,996	14.0%
Pension Trust Investment as of 04/30/20	6,879,368	6,879,368	86.0%
<b>Total Cash and Investments</b>	<b>\$ 7,996,364</b>	<b>\$ 7,996,364</b>	<b>100.0%</b>

<b>Weighted Average Days to Maturity</b>	557.72
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<b>Blended Yield:</b>	1.850%
<b>Benchmarks:</b>	
LAIF	1.648%
2yr U.S. Treasury	0.200%
5yr U.S. Treasury	0.360%

Restricted Funds with Fiscal Agent	Book Value	Market Value
Water System Lease Revenue Refunding Bonds 2011	15	15
Colonies CFD Area #2 2015	2,213,125	2,213,125
Colonies CFD Area #1 2012	2,830,041	2,830,041
Upland 54 CFD 2004	246,701	246,701
Successor Agency TAN 2013 / TAN 2016- Merged Project	2,493,520	2,493,520
Harvest at Upland CFD 2016-1	2,459,686	2,459,686
Sycamore Hills CFD 2015-1	8,939,064	8,939,064
<b>Total Restricted Funds</b>	<b>\$ 19,182,152</b>	<b>\$ 19,182,152</b>

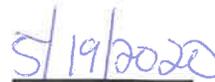
1. I hereby certify that the investments are in compliance with the investment policy adopted by the City Council in June 2019.
2. The City has the ability to meet its budgeted expenditures for the next six months.
3. The market values for funds held in banking institutions do not change. The amounts listed as market values for these items are the same as their book values.
4. The book value for the State Pool is the withdrawal value provided by the State Treasurer. The market value of funds held by the State Treasurer equates to the City's pro-rata share of the market value of the entire State Pool.
5. Sources for current market valuation are Account Statements and the Wall Street Journal GNMA Mortgage rates on the last trading day of the month.
6. This report meets the requirements of Government Code Section 53646.



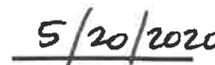
Reviewed by Finance Officer



City Manager



Date



Date

**TREASURY REPORT**

City of Upland - Successor Agency - Public Financing Authority

**State Local Agency Investment Fund**

**For Period Ended  
April 30, 2020**

<u>Fund or Account</u>		<u>Account Balance</u>	
City	95-36-642	41,894,235	
			<b>Market Value (See Note 4)</b>
	<b>Totals</b>	<b>\$ 41,894,235</b>	<b>\$ 42,330,176</b>
<b>Yield for Period Ended</b>	<b>4/30/2020</b>	<b>1.648%</b>	

## TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

### Bank Accounts and Change Funds

For Period Ended  
April 30, 2020

Account Name	Institution	Insured Deposits	Collateralized Deposits	Total Deposits
Petty Cash City of Upland	City of Upland	\$ -	\$ 11,916	\$ 11,916
City of Upland Payroll Zero Balance Account	Chase	-	-	-
City of Upland Checking Account	Chase	250,000	6,311,241	6,561,241
City of Upland Successor Agency	Chase	-	840,515	840,515
	<b>Totals</b>	<b>\$ 250,000</b>	<b>\$ 7,163,672</b>	<b>\$ 7,413,672</b>

# TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

April 30, 2020	Market Value	Availability 04/30/20 05/01/20	No. of days until maturity	weight	days to maturity weighted average	Total by maturity	
Petty Cash	11,916	05/01/20	1	0.0%	0.00		
Checking Account	6,561,241	05/01/20	1	5.9%	0.06		
Successor Agency	840,515	05/01/20	1	0.8%	0.01	7,413,672	
<b>Total Bank Accounts</b>	<b>7,413,672</b>						
<b>State Local Agency Investment Fund</b>	<b>42,330,176</b>	05/01/20	1	38.2%	0.38	42,330,176	
US Bank	3,773,000	05/01/20	1	3.4%	0.03	3,773,000	
<b>Total Money Market Accounts</b>	<b>3,773,000</b>						
Wells Fargo Sec LLC	205,121	5/13/2020	13	0.2%	0.02	205,121	
<b>Government Securities</b>	<b>205,121</b>						
United Bankers CD	250,330	5/19/2020	19	0.2%	0.04		
Brookline Bank CD	250,513	5/29/2020	29	0.2%	0.07	500,843	
<b>Corporate Bonds</b>	<b>500,843</b>						
						<b>54,222,812</b>	<b>1 - 30 days</b>
First Financial CD	251,008	6/26/2020	57	0.2%	0.13		
Ally Bank Midvale Utah	250,998	6/29/2020	60	0.2%	0.14	502,005	
<b>Corporate Bonds</b>	<b>502,005</b>						
						<b>502,005</b>	<b>31 - 60 days</b>
TCF National Bank CD	250,983	07/02/20	63	0.2%	0.14		
Wells Fargo Bank CD	251,480	07/27/20	88	0.2%	0.20		
Bank Pontiac ILL C D	251,443	08/14/20	106	0.2%	0.24		
State Street Bank Note	100,472	08/18/20	110	0.1%	0.10	854,377	
<b>Corporate Bonds</b>	<b>854,377</b>						
						<b>854,377</b>	<b>61 - 120 days</b>
Federal Home Loan Bks	1,507,560	09/28/20	151	1.4%	2.06	1,507,560	
<b>Government Securities</b>	<b>1,507,560</b>						
Bank of Hope CD	252,278	09/14/20	137	0.2%	0.31		
Comenity CAP BK CD	251,980	10/23/20	176	0.2%	0.40	504,258	
<b>Corporate Bonds</b>	<b>504,258</b>						
						<b>2,011,818</b>	<b>121 - 180 days</b>
Deutsche Bank Sec Intl	1,508,385	10/30/20	183	1.4%	2.49		
Deutsche Bank Sec Intl	1,511,625	11/30/20	214	1.4%	2.92	3,020,010	
<b>Government Securities</b>	<b>3,020,010</b>						
First Internet CD	254,488	12/28/20	242	0.2%	0.56		
Live Oak CD	255,250	02/09/21	285	0.2%	0.66		
Beal BK CD	252,660	03/03/21	307	0.2%	0.70		
Eagle Bank CD	255,315	03/08/21	312	0.2%	0.72		
Safra NATL BANK CD	251,918	04/07/21	342	0.2%	0.78		
CitiBank NA CD	255,485	04/12/21	347	0.2%	0.80		
Bell ST BK CD	251,530	04/16/21	351	0.2%	0.80		
Federal SVGS BK CD	251,640	04/20/21	355	0.2%	0.81	2,028,285	
<b>Corporate Bonds</b>	<b>2,028,285</b>						
						<b>5,048,295</b>	<b>181 - 1 year</b>
Deutsche Bank Sec Intl	1,524,660	10/07/21	525	1.4%	7.23		
Federal Farm Credit Bks	2,051,580	11/19/21	568	1.9%	10.53	3,576,240	
<b>Government Securities</b>	<b>3,576,240</b>						
Capital One NA CD	253,753	05/24/21	389	0.2%	0.89		
Synovus Bank GA CD	252,913	05/27/21	392	0.2%	0.90		
SB One BK CD	253,000	06/07/21	403	0.2%	0.92		
B Bay LLC Promissory Notebal	257,378	07/13/21	439	0.2%	1.02		

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City of Upland - Successor Agency - Public Financing Authority

April 30, 2020	Market Value	Availability 04/30/20 05/01/20	No. of days until maturity	weight	days to maturity  weighted average	Total by maturity	
First National Bank C D	253,580	07/30/21	456	0.2%	1.04		
Capital One Bank CD	254,858	08/02/21	459	0.2%	1.06		
Cathay Bank CD	253,440	08/30/21	487	0.2%	1.12		
Abacus Federal CD	257,760	08/31/21	488	0.2%	1.14		
First Fid Bank C D	257,773	09/14/21	502	0.2%	1.17		
Wells Fargo Bank CD	256,503	12/08/21	587	0.2%	1.36		
Synchorony Bank CD	259,380	03/08/22	677	0.2%	1.59		
Sterling Bank CD	261,195	03/09/22	678	0.2%	1.60		
Morgan Stanley CD	259,913	03/14/22	683	0.2%	1.60		
Amerant BK NATL CD	251,753	03/30/22	699	0.2%	1.59		
CenterState BK CD	253,423	03/30/22	699	0.2%	1.60		
Bank of Santa CD	251,985	03/31/22	700	0.2%	1.59		
American CD	253,668	04/05/22	705	0.2%	1.62		
American Express CD	253,195	04/07/22	707	0.2%	1.62		
First Northeast CD	253,313	04/19/22	719	0.2%	1.65	4,848,779	
<b>Corporate Bonds</b>	<b>4,848,779</b>						
						<b>8,425,019</b>	<b>1- 2 years</b>
Federal Home Loan Bks	1,500,555	05/06/22	736	1.4%	9.98		
Federal Farm Credit Bks	1,001,690	06/03/22	764	0.9%	6.91		
Federal Farm Credit Bks	1,000,710	06/30/22	791	0.9%	7.15		
Federal Farm Credit Bks	3,009,900	07/22/22	813	2.7%	22.11		
Federal Farm Credit Bks DEB	2,066,160	12/27/22	971	1.9%	18.13	8,579,015	
<b>Government Securities</b>	<b>8,579,015</b>						
Old Missouri CD	261,988	05/05/22	735	0.2%	1.74		
American Express CD	258,788	08/29/22	851	0.2%	1.99		
Cross Riv Bank C D	256,835	11/07/22	921	0.2%	2.14		
Flagstar Bank FSB CD	256,568	11/15/22	929	0.2%	2.15		
Wells Fargo Natl C D	257,145	12/30/22	974	0.2%	2.26		
Apple Inc	522,515	01/13/23	988	0.5%	4.66		
Goldman Sachs CD	264,100	02/28/23	1,034	0.2%	2.47	2,077,938	
<b>Corporate Bonds</b>	<b>2,077,938</b>						
						<b>10,656,953</b>	<b>2- 3 years</b>
Federal Home LN Corp MTN	1,502,460	12/18/23	1,327	1.4%	18.01	1,502,460	
<b>Government Securities</b>	<b>1,502,460</b>						
Sallie Mae Bank Salt Lke	267,898	05/09/23	1,104	0.2%	2.67		
Discover Bank Greenwood Del CD	269,705	07/11/23	1,167	0.2%	2.84		
Nicolet NATL CD	253,783	09/27/23	1,245	0.2%	2.85		
Lakeside Bank CD	254,633	09/29/23	1,247	0.2%	2.87		
Raymond James C D	259,035	12/29/23	1,338	0.2%	3.13		
BMO Harris Bank C D	251,043	01/30/24	1,370	0.2%	3.11		
Bank Hapoalim BM C D	253,965	03/25/24	1,425	0.2%	3.27		
Celtic Bank C D	254,343	04/02/24	1,433	0.2%	3.29		
UBS Bank USA C D	270,280	04/03/24	1,434	0.2%	3.50	2,334,682	
<b>Corporate Bonds</b>	<b>2,334,682</b>						
						<b>3,837,142</b>	<b>3- 4 years</b>
Federal Home LN Corp MTN	1,500,870	05/15/24	1,476	1.4%	20.01		
Federal Home Loan Bks	2,504,350	06/05/24	1,497	2.3%	33.87		
Federal Home LN Corp	1,002,550	06/17/24	1,509	0.9%	13.67		
Federal Home LN Corp MTN	2,005,400	07/30/24	1,552	1.8%	28.12		
Federal Farm Credit Bks	1,504,560	08/13/24	1,566	1.4%	21.29		
Federal Home LN Corp	1,507,155	09/18/24	1,602	1.4%	21.81		
Federal Home Loan Bks	1,255,263	10/02/24	1,616	1.1%	18.33		
Federal Home LN Corp MTN	1,500,690	11/12/24	1,657	1.4%	22.47		
Federal Home LN Corp MTN	1,501,515	11/25/24	1,670	1.4%	22.65		
Federal Home LN Bks DEB	1,008,630	12/16/24	1,691	0.9%	15.41		
Federal Home LN Bks DEB	2,005,500	02/04/25	1,741	1.8%	31.55		
Federal Home LN Corp MTN	1,500,480	02/11/25	1,748	1.4%	23.70		
Federal Home LN Corp MTN	2,016,600	02/12/25	1,749	1.8%	31.87		



**TREASURY REPORT**  
City of Upland - Successor Agency - Public Financing Authority

April 30, 2020

**Par Values Maturing by Date and Type**  
**Maturities in Thousands of Dollars**

Investment Portfolio	1 day to 30 days	31 days to 60 days	61 days to 120 days	121 days to 180 days	181 days to 1 year	1 year to 2 years	2 years to 3 years	3 years to 4 years	4 years to 5 years	5 years to 6 years
State Local Agency Investment Fund	42,330	-	-	-	-	-	-	-	-	-
Bank Accounts and Change Funds	7,414	-	-	-	-	-	-	-	-	-
ABS	-	-	-	-	-	-	-	-	-	-
Government Securities	205	-	-	1,508	3,020	3,576	8,579	1,502	23,070	-
Money Market Fund	3,773	-	-	-	-	-	-	-	-	-
Corporate Bonds	501	502	854	504	2,028	4,849	2,078	2,335	2,056	-
US Treasury	-	-	-	-	-	-	-	-	-	-
<b>Total Cash and Investments</b>	<b>54,223</b>	<b>503</b>	<b>854</b>	<b>2,012</b>	<b>5,048</b>	<b>8,425</b>	<b>10,657</b>	<b>3,837</b>	<b>25,125</b>	<b>-</b>
<b>Percentage</b>	<b>49.0%</b>	<b>0.5%</b>	<b>0.8%</b>	<b>1.8%</b>	<b>4.6%</b>	<b>7.6%</b>	<b>9.6%</b>	<b>3.5%</b>	<b>22.6%</b>	<b>0.0%</b>

