TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

For Period Ended
April 30, 2017

Investment Portfolio	Book Value	Market Value	% of Portfolio
State Local Agency Investment Fund	$ 41,735,250	$ 41,770,176	65.4%
Bank Accounts and Change Funds	12,021,591	12,021,591	18.8%
ABS	593,033	592,345	0.9%
Government Securities	4,025,000	4,021,818	6.3%
Commercial Paper	125,000	124,758	0.2%
Money Market Fund	1,378,710	1,378,710	2.2%
Corporate Bonds	2,110,000	2,109,646	3.3%
US Treasury	1,955,000	1,947,413	2.9%
Discounts (Premiums)	9,611	-	0.0%
Total Cash and Investments	$ 63,953,195	$ 63,966,458	100.0%

Weighted Average Days to Maturity	82.38

Blended Yield:	0.625%
Benchmarks:	
LAIF	0.884%
2yr U.S. Treasury	1.280%
5yr U.S. Treasury	1.810%

Restricted Funds with Fiscal Agent	Book Value	Market Value
Water System Lease Revenue Refunding Bonds 2011	150,343	150,343
Colonies CFD Area #2 2015	1,333,018	1,333,018
Colonies CFD Area #1 2012	2,083,791	2,083,791
Upland 54 CFD 2004	126,871	126,871
Redevelopment Agency East West Bank	3,115,296	3,115,296
Multifamily Housing Revenue Bonds 1999	141,781	141,781
Successor Agency 2007 Housing Revenue	10,201	10,201
Successor Agency TAN 2013 / TAN 2016- Merged Project	2,456,352	2,456,352
Total Restricted Funds	$ 9,417,653	$ 9,417,653

1. I hereby certify that the investments are in compliance with the investment policy adopted by the City Council in March 2017.
2. The City has the ability to meet its budgeted expenditures for the next six months.
3. The market values for funds held in banking institutions do not change. The amounts listed as market values for these items are the same as their book values.
4. The book value for the State Pool is the withdrawal value provided by the State Treasurer. The market value of funds held by the State Treasurer equates to the City's pro-rata share of the market value of the entire State Pool.
5. Sources for current market valuation are Account Statements and the Wall Street Journal GNMA Mortgage rates on the last trading day of the month.
6. This report meets the requirements of Government Code Section 53646.

Linda Bohl — 7/5/17

Reviewed by Finance Officer — Date

Larry Kinley — 7/5/17

City Treasurer — Date

Prepared By: Shirley Feng

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

State Local Agency Investment Fund

For Period Ended
April 30, 2017

Fund or Account		Account Balance	
City	98-36-942	41,720,524	
Successor Agency	65-36-007	993	
Upland Public Financing Authority	40-36-004	13,733	
			Market Value (See Note 4)
	Totals	**$ 41,735,250**	**$ 41,770,176**
Yield for Period Ended	**4/30/2017**	**0.884%**	

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

Bank Accounts and Change Funds

For Period Ended
April 30, 2017

Account Name	Institution	Insured Deposits	Collateralized Deposits	Total Deposits
Petty Cash City of Upland	City of Upland	$ -	$ 12,980	$ 12,980
City of Upland Payroll Zero Balance Account	Chase	-	-	-
City of Upland Checking Account	Chase	250,000	11,593,786	11,843,786
City of Upland Successor Agency	Chase	-	164,824	164,824
	Totals	**$ 250,000**	**$ 11,771,590**	**$ 12,021,591**

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

For Period Ended

April 30, 2017

Investment	Purchased From	Cusip #	Book Value	Market Value	Stated Rate	Maturity Date
	ABS					
	Honda Auto Receivables 2014-3 A3	43814HAC2	14,581	14,571	0.880%	6/15/2018
	John Deere Owner Trust	47788MAB6	59,722	59,708	1.150%	10/15/2018
	John Deere Owner Trust	477877AD6	27,552	27,530	1.070%	11/15/2018
	Toyota Auto Receivables Owner 2015-A	89236WAC2	52,134	52,086	1.120%	2/15/2019
	John Deere Owner Trust	47788NAB4	90,000	89,935	1.090%	2/15/2019
	Honda Auto Receivables 2015-2 A3	43813NAC0	71,184	71,080	1.040%	2/21/2019
	Honda Auto Receivables Owner 2016-4 A2	43814RAB2	130,000	129,774	1.040%	4/18/2019
	Nissan Auto Receivables Owner 2016-C	65478WAB1	62,859	62,790	1.070%	5/15/2019
	Toyota Auto Receivables Owner 2016-D	89231LAB3	85,000	84,872	1.060%	5/15/2019
		ABS Subtotal	593,033	592,345		
	Government Securities					
FHLB	Wells Fargo Sec LLC	313379VE6	200,000	200,058	1.010%	6/19/2017
FHLMC	Deutsche Bank Sec Intl	3137EADL0	200,000	200,000	1.000%	9/29/2017
FFCB	Mlprfs Inc	3133EDXA5	200,000	200,198	1.150%	10/10/2017
FNMA	Nomura Securities Intl	3135G0TG8	200,000	199,698	0.875%	2/8/2018
FFCB	Stifel, Nicolaus & Co, Inc	3133EEQM5	210,000	210,099	1.110%	2/20/2018
FHLMC	Citigroup Global Mkt Inc	3137EADP1	200,000	199,550	0.875%	3/7/2018
FHLB	Morgan Stanley & Co	3130A4GJ5	200,000	199,942	1.125%	4/25/2018
FNMA	Citigroup Global Mkt Inc	3135G0WJ8	200,000	199,374	0.875%	5/21/2018
FNMA	Citigroup / Kebanc	3135G0E33	200,000	199,828	1.125%	7/20/2018
FNMA	Barclays Cptl & Morgan Stan	3135G0E58	200,000	199,626	1.125%	10/19/2018
FNMA	Deutsche Bank Sec Intl	3135G0G72	210,000	209,435	1.125%	12/14/2018
FFCB	Keybanc Capital Mkt Inc	3133EFSJ7	100,000	100,004	1.300%	12/14/2018
FHLMC	Morgan Stanley & Co	3137EADZ9	200,000	199,220	1.125%	4/15/2019
FHLB	Wells Fargo Sec LLC	313379EE5	200,000	200,842	1.625%	6/14/2019
FHCB	Keybanc Capital Mkt Inc	3133EFW52	200,000	199,030	1.150%	7/1/2019
FHLMC	Bnp Paribas Securities	3137EADK2	200,000	199,332	1.250%	8/1/2019
FHLB	Wells Fargo Sec LLC	313380FB8	100,000	99,796	1.375%	9/13/2019
FHLMC	Morgan Stanley & Co	3137EADM8	200,000	198,916	1.250%	10/2/2019
FHLB	Wells Fargo Sec LLC	3130A0JR2	200,000	204,566	2.375%	12/13/2019
FHLB	Wells Fargo Sec LLC	3130A7PU3	200,000	198,020	1.200%	4/6/2020
FFCB	Wells Fargo Sec LLC	3133ECPD0	205,000	204,285	1.420%	5/13/2020
		Government Securities Subtotal	4,025,000	4,021,818		
	Commercial Paper					
	Toyota Motor Credit Discount CP	89233GU38	125,000	124,758	1.25%	7/3/2017
		Commercial Paper Subtotal	125,000	124,758		
	Money Market Fund					
	City of Upland					
	US Bank / Chandler Asset Mgmt	31846V203	1,378,710	1,378,710	0.01%	N/A
		Money Market Fund Subtotal	1,378,710	1,378,710		

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

For Period Ended

April 30, 2017

Investment	Purchased From	Cusip #	Book Value	Market Value	Stated Rate	Maturity Date
	Corporate Bonds					
	Berkshire Hathaway Note	084664BS9	125,000	125,029	1.600%	5/15/2017
	Pfizer Inc Note	717081DJ9	125,000	125,004	1.100%	5/15/2017
	Praxair Note	74005PBC7	125,000	124,861	1.050%	11/7/2017
	Chevron Corp Callable Note Cont	166764AA8	125,000	124,849	1.104%	12/5/2017
	Intel Corp Note	458140AL4	125,000	125,074	1.350%	12/15/2017
	Wells Fargo Corp Note	94974BFG0	125,000	124,948	1.500%	1/16/2018
	HSBC USA Inc.	40428HPH9	100,000	99,972	1.625%	1/16/2018
	IBM Corp Note	459200HZ7	125,000	124,885	1.125%	2/6/2018
	Apple Inc Note	037833AJ9	130,000	129,678	1.000%	5/3/2018
	Qualcom Inc Note	747525AG8	135,000	134,879	1.400%	5/18/2018
	Charles Schwab Corp	808513AJ4	125,000	125,825	2.200%	7/25/2018
	Microsoft Corp	594918BF0	60,000	59,913	1.300%	11/3/2018
	John Deere Capital Corp Note	24422ETE9	125,000	125,765	1.950%	1/8/2019
	Bank of New York Note	06406HCP2	125,000	125,733	2.500%	1/15/2019
	Toyota Motor Credit Corp	89236TDE2	125,000	124,231	1.400%	8/8/2019
	Microsoft Note	594918BN3	120,000	118,832	1.100%	8/8/2019
	Paccar Financial Corp Note	69371RN36	90,000	88,682	1.200%	8/12/2019
	State Street Bank Note	857477AS2	100,000	101,488	2.550%	8/18/2020
		Corporate Bonds Subtotal	2,110,000	2,109,646		
	US Treasury					
US T-note	Deutsche Bank Sec Inc	912828VE7	175,000	174,699	1.000%	5/31/2018
US T-note	Citigroup Global Mkt Inc	912828P53	200,000	198,234	0.750%	2/15/2019
US T-note	Bmo Capital Mkt Corp	912828SN1	175,000	175,793	1.500%	3/31/2019
US T-note	Jefferies LLC	912828ST8	200,000	199,914	1.250%	4/30/2019
US T-note	Citigroup Global Mkt Inc	912828SX9	205,000	204,319	1.125%	5/31/2019
US T-note	Morgan Stanley	912828TH3	200,000	198,078	0.875%	7/31/2019
US T-note	Bmo Capital Mkt Corp	912828TR1	200,000	198,398	1.000%	9/30/2019
US T-note	Barclays Capital Inc	912828G61	200,000	200,648	1.500%	11/30/2019
US T-note	Citigroup Global Mkt Inc	912828H52	200,000	199,118	1.250%	1/31/2020
US T-note	Morgan Stanley	912828UV0	200,000	198,212	1.125%	3/31/2020
		US Treasury Subtotal	1,955,000	1,947,413		
	Total Portfolio		**$ 10,186,743**	**$ 10,174,691**		

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

For Period Ended April 30, 2017	Market Value	Availability 04/30/17 05/01/17	No. of days until maturity	weight	days to maturity weighted average	Total by maturity	
Petty Cash	12,980	05/01/17	1	0.0%	0.00		
Checking Account	11,843,786	05/01/17	1	18.5%	0.19		
Successor Agency	164,824	05/01/17	1	0.3%	0.00	12,021,591	
Total Bank Accounts	**12,021,591**						
State Local Agency Investment Fund	**41,770,176**	05/01/17	1	65.3%	0.65	41,770,176	
US Bank / Chandler Asset Mgmt	1,378,710	05/01/17	1	2.2%	0.02	1,378,710	
Total Money Market Accounts	**1,378,710**						
Berkshire Hathaway Note	125,029	05/15/17	15	0.2%	0.03		
Pfizer Inc Note	125,004	05/15/17	15	0.2%	0.03	250,033	
Corporate Bonds	**250,033**						
						250,033	**1 - 30 days**
Wells Fargo Sec LLC	200,058	06/19/17	50	0.3%	0.16	200,058	
Government Securities	**200,058**						
						200,058	**31 - 60 days**
Toyota Motor Credit Discount CP	124,758	7/3/2017	64	0.2%	0.12	124,758	
Commercial Paper	**124,758**						
						124,758	**61 - 120 days**
Deutsche Bank Sec Intl	200,000	09/29/17	152	0.3%	0.48		
Mlprfs Inc	200,198	10/10/17	163	0.3%	0.51	400,198	
Government Securities	**400,198**						
						400,198	**121 - 180 days**
Nomura Securities Intl	199,698	02/08/18	284	0.3%	0.89		
Stifel, Nicolaus & Co, Inc	210,099	02/20/18	296	0.3%	0.97		
Citigroup Global Mkt Inc	199,550	03/07/18	311	0.3%	0.97		
Morgan Stanley & Co	199,942	04/25/18	360	0.3%	1.13	809,289	
Government Securities	**809,289**						
Praxair Note	124,861	11/07/17	191	0.2%	0.37		
Chevron Corp Callable Note Cont	124,849	12/05/17	219	0.2%	0.43		
Intel Corp Note	125,074	12/15/17	229	0.2%	0.45		
Wells Fargo Corp Note	124,948	01/16/18	261	0.2%	0.51		
HSBC USA Inc.	99,972	01/16/18	261	0.2%	0.41		
IBM Corp Note	124,885		282	0.2%	0.55	724,588	
Corporate Bonds	**724,588**	02/06/18					
						1,533,877	**181 - 1 year**
Honda Auto Receivables 2014-3 A3	14,571	06/15/18	411	0.0%	0.09		
John Deere Owner Trust	59,708	10/15/18	533	0.1%	0.50		
John Deere Owner Trust	27,530	11/15/18	564	0.0%	0.24		
Toyota Auto Receivables Owner 2015-A	52,086	02/15/19	656	0.1%	0.53		
John Deere Owner Trust	89,935	02/15/19	656	0.1%	0.92		
Honda Auto Receivables 2015-2 A3	71,080	02/21/19	662	0.1%	0.74		
Honda Auto Receivables Owner 2016-4 A	129,774	04/18/19	718	0.2%	1.46	444,684	
ABS	**444,684**						
Citigroup Global Mkt Inc	199,374	05/21/18	386	0.3%	1.20		
Citigroup / Kebanc	199,828	07/20/18	446	0.3%	1.39		
Barclays Cptl & Morgan Stan	199,626	10/19/18	537	0.3%	1.68		
Deutsche Bank Sec Intl	209,435	12/14/18	593	0.3%	1.94		
Keybanc Capital Mkt Inc	100,004	12/14/18	593	0.2%	0.93		
Morgan Stanley & Co	199,220	04/15/19	715	0.3%	2.23	1,107,487	
Government Securities	**1,107,487**						
Apple Inc Note	129,678	05/03/18	368	0.2%	0.75		
Qualcom Inc Note	134,879	05/18/18	383	0.2%	0.81		

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

For Period Ended April 30, 2017	Market Value	Availability 04/30/17 05/01/17	No. of days until maturity	weight	days to maturity weighted average	Total by maturity	
Charles Schwab Corp	125,825	07/25/18	451	0.2%	0.89		
Microsoft Corp	59,913	11/03/18	552	0.1%	0.52		
John Deere Capital Corp Note	125,765	01/08/19	618	0.2%	1.22	576,059	
Corporate Bonds	**576,059**						
Deutsche Bank Sec Inc	174,699	05/31/18	396	0.3%	1.08		
Citigroup Global Mkt Inc	198,234	02/15/19	656	0.3%	2.03		
Bmo Capital Mkt Corp	175,793	03/31/19	700	0.3%	1.92		
Jefferies LLC	199,914	04/30/19	730	0.3%	2.28	748,640	
US Treasury	**748,640**						
						2,876,870	**1- 2 years**
Nissan Auto Receivables Owner 2016-C	62,790	05/15/19	745	0.1%	0.73		
Toyota Auto Receivables Owner 2016-D	84,872	05/15/19	745	0.1%	0.99	147,662	
ABS	**147,662**						
Wells Fargo Sec LLC	200,842	06/14/19	775	0.3%	2.43		
Keybanc Capital Mkt Inc	199,030	07/01/19	792	0.3%	2.46		
Bnp Paribas Securities	199,332	08/01/19	823	0.3%	2.56		
Wells Fargo Sec LLC	99,796	09/13/19	866	0.2%	1.35		
Morgan Stanley & Co	198,916	10/02/19	885	0.3%	2.75		
Wells Fargo Sec LLC	204,566	12/13/19	957	0.3%	3.06		
Wells Fargo Sec LLC	198,020	04/06/20	1,072	0.3%	3.32	1,300,502	
Government Securities	**1,300,502**						
Bank of New York Note	125,733	08/08/19	830	0.2%	1.63		
Toyota Motor Credit Corp	124,231	08/08/19	830	0.2%	1.61		
Microsoft Note	118,832	08/12/19	834	0.2%	1.55		
Paccar Financial Corp Note	88,682	08/12/19	834	0.1%	1.16	457,479	
Corporate Bonds	**457,479**						
Citigroup Global Mkt Inc	204,319	05/31/19	761	0.3%	2.43		
Morgan Stanley	198,078	07/31/19	822	0.3%	2.55		
Bmo Capital Mkt Corp	198,398	09/30/19	883	0.3%	2.74		
Barclays Capital Inc	200,648	11/30/19	944	0.3%	2.96		
Citigroup Global Mkt Inc	199,118	01/31/20	1,006	0.3%	3.13		
Morgan Stanley	198,212	03/31/20	1,066	0.3%	3.30	1,198,773	
US Treasury	**1,198,773**						
						3,104,416	**2- 3 years**
Wells Fargo Sec LLC	204,285	05/13/20	1,109	0.3%	3.54	204,285	
Government Securities	**204,285**						
State Street Bank Note	101,488	08/18/20	1,206	0.2%	1.91	101,488	
Corporate Bonds	**101,488**						
						305,773	**3- 4 years**
Total Investments	**$ 63,966,458**			100.00%	82.38	**$ 63,966,458**	

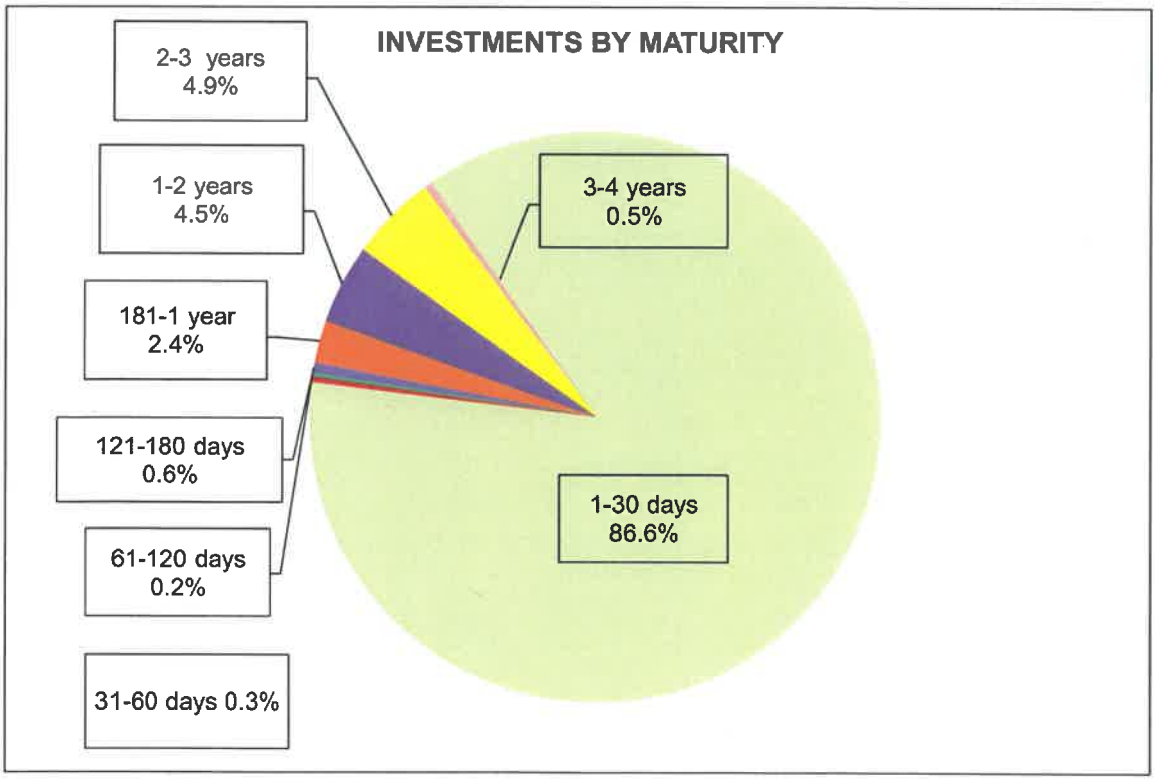
Average Maturity in Days	82.38	Total Book Value	
Average Maturity in Years:	0.226	Rate of Return	

LAIF Amortized Cost	$ 75,858,485,895
LAIF Fair Value	$ 75,921,969,077
Check:	1.000836863

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

For Period Ended
April 30, 2017

Par Values Maturing by Date and Type
Maturities in Thousands of Dollars

Investment Portfolio	1 day to 30 days	31 days to 60 days	61 days to 120 days	121 days to 180 days	181 days to 1 year	1 year to 2 years	2 years to 3 years	3 years to 4 years
State Local Agency Investment Fund	41,770	-	-	-	-	-	-	-
Bank Accounts and Change Funds	12,022	-	-	-	-	-	-	-
ABS	-	-	-	-	-	445	148	-
Government Securities	-	200	-	400	809	1,107	1,301	204
Commercial Paper	-	-	125	-	-	-	-	-
Money Market Fund	1,379	-	-	-	-	-	-	-
Corporate Bonds	250	-	-	-	725	576	457	101
US Treasury	-	-	-	-	-	749	1,199	-
Total Cash and Investments	55,421	200	125	400	1,534	2,877	3,104	306
Percentage	86.6%	0.3%	0.2%	0.6%	2.4%	4.5%	4.9%	0.5%